AGREED
State Institution "Science Committee"
Ministry of Science and Higher Education of
the Republic of Kazakhstan"
Letter dated 24.11.2025 year
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APPROVED
Board Decision
JSC "Science Fund"
From 24.11.2025 year
№24.

Competition documentation
for grant financing of projects for the commercialization of the results of scientific and (or) scientific and technical activities for 2026-2028

Astana, 2025

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Section 1. Concepts and Definitions

1. The following basic concepts and definitions are used in this Competition documentation:

   1) **A grant for the commercialization of the results of scientific and (or) scientific-technical activities** are funds provided on a gratuitous and non-repayable basis, with the exception of cases stipulated by the legislation of the Republic of Kazakhstan, for the implementation of projects for the commercialization of the results of scientific and (or) scientific-technical activities within the framework of priority sectors of the economy (*hereinafter referred to as a grant)*;

   2) **Grantee** - a legal entity that has entered into an agreement on grant financing of the Project for the commercialization of the R&D results with the Science Fund;

   3) **Double financing** is the provision of financial resources for the implementation of the same project, its individual stages, activities or the costs provided for by it from two or more sources of budgetary financing or from the state budget and other sources without proper delineation of the volume and intended purpose of the financing.

   4) **Agreement on the commercialization of R&D results** - an agreement between the Applicant, the Grantee and the Science Fund for the implementation of a project financed from the state budget;

   5) **Applicant** - an individual or legal entity that is an accredited subject of scientific and (or) scientific and technical activity, as well as an autonomous educational organization and their organization, who has submitted an application for consideration to receive a grant;

   6) **commercialization of the results of scientific and (or) scientific and technical activities** - activities related to the practical application of the results of scientific and (or) scientific and technical activities, including the results of intellectual activity, with the aim of introducing new or improved goods, processes and services to the market, aimed at generating income (*hereinafter referred to as commercialization of R&D results*);

   7) **National Scientific Council** - a collegial body created in the priority area of "Commercialization of the results of scientific and (or) scientific and technical activities" (*hereinafter referred to as NSC*);

   8) **R&D results commercialization project** - a document that includes the content of the planned work aimed at the practical application of the R&D results, including the results of intellectual activity, with the aim of introducing new or improved goods, processes and services to the market, aimed at generating income *(hereinafter referred to as the project);*

9) **Head of the R&D results commercialization project** - the person who is personally responsible for the operational management of the project team and the project (*hereinafter referred to as the Project Manager*);

10) **A start-up company** is a legal entity registered in the territory of the Republic of Kazakhstan, classified as a small or medium-sized business entity, created with the participation of higher and (or) postgraduate education organizations, scientific organizations whose activities are aimed at commercializing the results of scientific and (or) scientific and technical activities, developing innovations;

11) **Authorized body** - State Institution "Science Committee of the Ministry of Science and Higher Education of the Republic of Kazakhstan";

12) **Evaluation center** - Joint-stock company "National Center for State Scientific and Technical Evaluation". Contact information: 221, Bogenbay Batyr Street, Almaty, 050026, Republic of Kazakhstan, tel.: 8 (727) 222 11 02 , e-mail: info@ncste.kz ;

13) **Co-financing organization** - an individual entrepreneur, a simple partnership, a consortium or a legal entity, with the exception of persons acting as state partners, who have entered into a joint operations agreement with the Grantee and/or the Applicant;

14) **The Fund** is the Science Fund Joint-Stock Company. Contact information: 41, Tauelsizdik Ave., Silk Way Center Business Center , 4th floor 010000, Astana, Republic of Kazakhstan,. 8 (7172) 575003, e-mail: info@science-fund.kz

Section 2. General Provisions

2. This Competition documentation has been developed in accordance with the following regulatory legal acts and other documents:

1) By the Law of the Republic of Kazakhstan "On Science and Technology Policy" dated July 1, 2024 No. 103-VIII ЗРК (*hereinafter referred to as the Law*);

2) The rules for basic and program-targeted financing of scientific and (or) scientific-technical activities, grant financing of scientific and (or) scientific-technical activities and commercialization of the results of scientific and (or) scientific-technical activities, financing of scientific organizations carrying out fundamental scientific research, approved by the Order of the Acting Minister of Science and Higher Education of the Republic of Kazakhstan dated November 6, 2023 No. 563 (*hereinafter referred to as the Financing Rules*);

3) By order of the Minister of Science and Higher Education of the Republic of Kazakhstan dated November 7, 2024 No. 517 "On approval of the Rules

for organizing and conducting state scientific and technical expertise" (*hereinafter referred to as the SSTE Rules*);

4) By order of the Minister of Science and Higher Education of the Republic of Kazakhstan dated September 25, 2023 No. 487 "On approval of the list and regulations on national scientific councils" (*hereinafter referred to as the NSC Regulation*);

5) By order of the Minister of Science and Higher Education of the Republic of Kazakhstan dated December 31, 2024 No. 630 "On approval of the Rules for state registration of scientific, scientific-technical projects and programs, projects for the commercialization of the results of scientific and (or) scientific-technical activities financed from budgetary funds, as well as from subsoil users' funds within the framework of the obligations of subsoil users in the field of science, and reports on their implementation" (*hereinafter referred to as the Accounting Rules*);

6) By order of the Minister of Science and Higher Education of the Republic of Kazakhstan dated January 10, 2025 No. 8 "On approval of the Methodology for determining the levels of technology readiness and technological readiness of organizations" (hereinafter referred to as the *TRL Methodology*);

7) Reports on foresight studies for the purpose of formulating scientific and technical tasks and developing proposals to improve the competitiveness of domestic science prepared by the National Academy of Sciences of the Republic of Kazakhstan under the President of the Republic of Kazakhstan for 2024;

8) Internal regulatory documents of the Fund.

3. The purpose of the competition for grant financing of R&D commercialization projects (*hereinafter referred to as the Competition*) is to promote the practical application of R&D, including the results of intellectual activity, with the aim of bringing to market new or improved goods, processes and services aimed at generating income, through grant financing mechanisms in accordance with priority areas.

4. The competition is held by the Fund, which, in accordance with Article 45 of the Law, provides grant funding for the commercialization of the results of scientific and (or) scientific and technical activities.

5. The main principles of the Competition are:

1) transparency in the interaction of all participants in the process;

2) guaranteeing the rights and interests of persons involved in obtaining R&D results and generating income;

3) economic stimulation of commercialization of R&D results in priority areas;

4) integration of education, science, production and innovative development institutions.

6. Persons employed by an authorized body, the Evaluation center, or the Fund, as well as their affiliates, are prohibited from participating in the Competition and/or in the implementation of projects.

7. By the time of submitting an application for a grant, completed scientific research must be registered with the Evaluation center (the state register of results of scientific and scientific-technical activities).

8. The results of scientific and/or scientific-technical activities at the time of application submission must correspond to the technology readiness level (TRL) 6 (sixth) or higher, according to the TRL Methodology.

9. The commercialized R&D project must be protected by a valid patent, registered in accordance with the procedure established by law, with confirmation of the validity of the rights as of the date of filing the application.

10. The maximum possible amount of the allocated grant for the implementation of one project shall not exceed 250 000 000 (two hundred fifty million) tenge, including no more than by year:

    1) for 2026 – 82 500 000 (eighty-two million, five hundred thousand) tenge (33%);

    2) for 2027 – 85 000 000 (eighty-five million) tenge (34%);

    3) for 2028 – 82 500 000 (eighty-two million, five hundred thousand) tenge (33%).

11. All costs associated with participation in the competition, including costs associated with the preparation and submission of an application for participation in the competition, are borne by the Applicants.

12. All amounts specified in the application for participation in the Competition must be expressed in the national currency of the Republic of Kazakhstan, tenge.

13. The competition was announced by the Fund on 26 November 2025 and the announcement was posted on the following Internet resources:

    1) Authorized body https://www.gov.kz/memleket/entities/science?lang=ru ;

    2) Science Fund https://science-fund.kz/ ;

    3) Evaluation center https://www.ncste.kz/ru/competition .

14. Applications will be accepted from December 10, 2025 to January 10, 2026.

15. The total amount of funding for 2026-2028 is 9 000 000 000 tenge, including by year:

    1) for 2026 – 3 000 000 000 tenge;

2) for 2027 – 3 000 000 000 tenge;

3) for 2028 – 3 000 000 000 tenge;

Section 3. List of priority areas within which funding is provided

16. Projects are financed within the framework of priority areas for industry development, developed on the basis of foresight research by the National Academy of Sciences under the President of the Republic of Kazakhstan and in accordance with the country's strategic documents.

17. List of priority areas:

    1) Agro-industrial complex and safety of agricultural products ;

    2) Geology, mining and processing of minerals, new materials and technologies ;

    3) Heat and power engineering, including hydrogen energy and energy storage;

    4) Mechanical engineering and robotics of production;

    5) Life and health sciences, biotechnology and personalized medicine ;

    6) Information and communication technologies, artificial intelligence and cybersecurity ;

    7) Space technologies, development of satellite and rocket systems ;

    8) Rational use of water resources, ecology and restoration of biodiversity ;

    9) Quality education and digital technologies in teaching ;

    10) Ecological, agrotourism and ethnographic tourism .

18. R&D results, which are the subject of commercialization of R&D results, cannot be considered state secrets.

Section 4. Requirements for the parties participating in the project

19. Project participants may act in one or more of the following roles: Applicant, Grantee, or Co-financing Organization. A single individual may hold multiple roles, provided they meet the requirements for each.

20. The Grantee may be:

    1) an active legal entity registered at the time of application;

    2) with a start-up company that is created if the Applicant wins the competition.

21. The Grantee cannot be a person against whom claims for recognition of bankruptcy have been filed, who is bankrupt, is in the process of liquidation or rehabilitation, as well as persons included in the register of unscrupulous suppliers.

22. The Grantee must have the right and ability to carry out the commercial activities necessary for the implementation of the R&D results commercialization project, including the presence of the relevant activities in the charter, as well as licenses and permits, if required by the legislation of the Republic of Kazakhstan. If the necessary conditions are not met, the Grantee is obligated to ensure them before concluding the R&D results Commercialization Agreement.
23. At the time of application, the Grantee must confirm that they have been operating for at least the previous three years by providing documents confirming tax deductions of at least 10 million tenge on average annually. If the Grantee applies a special tax regime that provides tax incentives and is exempt from certain taxes and deductions in accordance with current tax legislation, they may alternatively provide financial statements for the relevant periods confirming annual turnover of at least 50 million tenge. This clause does not apply to Grantees that are start-up companies.
24. If a startup company is established after winning the Competition, the Applicant undertakes to register a legal entity after the announcement of the Competition results and before signing the Commercialization Agreement. The Applicant (or their representatives) must become a founder/participant of the startup company for the entire duration of the project. Founders of the startup company who are legal entities must meet the requirements set forth in Section 23of the Competition Documentation.
25. When a start-up company is established, a grant repayment guarantee agreement is concluded with each of its founders, obliging them to return to the Fund an amount proportional to their share in the authorized capital, should grounds for a return of funds arise and the start-up company is unable to reimburse them (Appendix No. 5 to the Competition Documentation).
26. Higher and postgraduate education institutions, as well as state scientific organizations and scientific organizations with state participation, 50 percent or more of whose shares (stocks) are directly or indirectly owned by the state, cannot act as co-financing organizations .
27. The grantee must have exclusive rights to use the R&D and technical documentation within the project and patents registered in accordance with current legislation. The transfer or assignment of these rights to others is prohibited during the project implementation period.
28. If the Grantee does not initially own the rights to the R&D and patents registered in accordance with current legislation, they must be transferred to them under an assignment agreement for exclusive rights. The transfer of rights must be completed within three months of the announcement of the competition results. If supporting documents are not provided within the specified timeframe, the Fund will initiate the termination of funding and the return of the allocated funds.

Section 5. Requirements for the form and content of the agreement on joint operations of the parties participating in the project

29. At the time of submitting an application for participation in the competition, the participating parties shall provide an agreement on joint operations for the implementation of the R&D project commercialization (Appendix No. 6 to the Competition Documentation), which shall indicate:
    1) the amount of co-financing, also expressed as a percentage of the total planned amount of grant funding, indicating the distribution by year of implementation ;
    2) the obligations of the parties to provide the facilities and equipment (if any), including a description of the facility, its technical and economic characteristics, composition of the property, technical condition, service life, estimated initial, residual and replacement value, as well as information on the technology and technological equipment;
    3) with information about the copyright holder of the commercialized R&D results, indicating which of the participating parties has exclusive or other rights to it, as well as the conditions and terms for the transfer of such rights to the Grantee (if necessary) for the implementation of the project
    4) the procedure for implementing the project, including the distribution of responsibility, stages of participation and coordination of the parties' actions;
    5) with the term of the contract covering the entire period of project implementation;
    6) the procedure for reporting and monitoring the fulfillment of obligations of the parties;
    7) and the necessary conditions to ensure the effective and fair implementation of the project within the framework of grant funding.
30. In the event of the creation of a startup company, the newly created startup company assumes all obligations of the Grantee as stipulated by the Competition Documentation, including joining the Joint Operations Agreement for the implementation of the R&D Project Commercialization, concluded by the participating parties prior to the creation of the startup company. The corresponding agreement on the startup company's accession to the Joint Operations Agreement for the implementation of the R&D Project Commercialization is submitted to the Fund for execution of the R&D Project Commercialization Agreement.

Section 6. Project co-financing conditions

31. All projects submitted within the framework of this competition will be co-financed in accordance with the established requirements.

32. The amount of co-financing is determined depending on the type of Grantee and is:

    1) not less than 25% of the total grant amount, if the Grantee is an organization of higher and postgraduate education, as well as state scientific organizations and scientific organizations whose fifty or more percent of voting shares (interests in the authorized capital) are directly or indirectly owned by the state , which are accredited subjects of scientific and (or) scientific and technical activities ;

    2) not less than 30% of the total grant amount, if the Grantee is a start-up company that will be created if the Applicant wins the competition;

    3) not less than 45% of the total grant amount if the Grantee is another legal entity registered at the time of application.

33. The terms of co-financing are determined by the Agreement on joint activities for the implementation of the R&D Results commercialization project and must contain the following provisions:

    1) the amount of co-financing established in accordance with the requirements of the Competition documentation;

    2) schedule of contributions of co-financing funds and the procedure for documentary confirmation of their receipt;

    3) the target purpose of co-financing, indicating the directions and stages of use of funds within the framework of the project implementation;

    4) about the obligations of the parties, including the procedure for reporting on the expenditure of funds and interaction in the implementation of the project;

    5) measures of liability of the parties for violation or failure to fulfill the terms of the contract, including the consequences of failure to fulfill financial obligations.

34. If the project is co-financed by the Applicant, Grantee, or start-up company's own funds, the application must be accompanied by a statement of independent co-financing, which specifies:

    1) Contribution amount in tenge;

    2) a schedule of deposits that meets the requirements of paragraph 35;

    3) conditions for the use of funds, including their intended purpose and distribution among the stages of the project.

    The application is signed by the project manager and the authorized representative of the Applicant, confirming their obligations to contribute the declared amount.

35. Co-financing funds are contributed in proportion to the volume of grant funding planned for allocation at each stage of the project implementation, in the amount determined by paragraph om 32of this Competition documentation.
36. Co-financing funds contributed in excess of the planned amount for the current calendar year may be offset against co-financing obligations for the following year, subject to their intended use and documentary confirmation.
37. During planning, it is permissible to transfer the majority of co-financing payments to the initial stages of the project, while compliance with the minimum annual payment volume established by the schedule of co-financing payments remains mandatory.
38. Co-financing is provided in monetary funds. At the same time, budgetary funds, material contributions (equipment, machinery, land , buildings and premises , etc.) and intangible assets cannot act as co-financing.
39. A separate bank account is opened for each project , designated exclusively for co-financing transactions. Only expenses incurred from this account are eligible for co-financing, provided supporting documents are available confirming the intended use of funds within the project.
40. Before receiving the first tranche of grant funding at each stage of project implementation, the Grantee is required to provide a certificate from a second-tier bank(s) confirming the availability of co-financing funds in the amount of at least 50% of the amount provided for this stage.
41. The remaining 50% of the co-financing funds must be paid before the next grant tranche is received. The Grantee is required to provide an additional certificate from the second-tier bank confirming the availability of the co-financing funds.
42. Co-financing funds must be reflected in the project's cost estimates and implementation plans and are used exclusively to finance activities directly related to achieving the project's goals and objectives. Co-financing funds must be spent at each stage of project implementation in accordance with the approved budget.
43. The following expenses are not eligible for co-financing:
    1) production and (or) sale of tobacco products, alcoholic beverages, weapons and military equipment, gambling;
    2) interest and/or repayment of debt to any third party;
    3) expenses and reserves for possible future losses and (or) debts;
    4) entertainment expenses;
    5) fines and penalties.

Section 7. Requirements for the project team

44. The project group consists of at least 3 and no more than 5 people.
45. The Project Manager directly manages the project at the Grantee’s premises.
46. The project manager must be a citizen of the Republic of Kazakhstan.
47. Project team members must be citizens and/or residents of the Republic of Kazakhstan.
48. All members of the project team are required to have a higher education.
49. The Grantee's top executive must have at least three years of experience managing a legal entity. Confirmation that the legal entity paid taxes to the state budget in an average annual amount of at least 10 million tenge during the given period is required. Alternatively , if the legal entity applied a special tax regime providing tax incentives, financial statements for the relevant periods confirming the legal entity's annual turnover of at least 50 million tenge may be provided.
50. In the event of the Applicant’s victory and the implementation of the project, all members of the project team are required to be on the staff of the Grantee on the basis of employment contracts concluded with them, in the manner prescribed by the labor legislation of the Republic of Kazakhstan .
51. In the event of the Applicant's victory and the implementation of the project, the project manager is required to be a full-time employee of the Grantee for the project for the entire duration of the project.
52. The same person may be the manager of no more than one R&D commercialization project at the same time.
53. The same person may be a member of the project team in no more than two R&D commercialization projects at the same time.
54. The project team includes a marketer with at least three years of experience in sales and/or business development .
55. The project team includes an accountant who has:
    1) valid Kazakhstani professional accountant certificate;
    2) at least 3 years of practical work experience .
56. The document confirming the work activity (experience) of a marketer and accountant may be any of the following: work record book; employment contract with the employer's note on the date and grounds for its termination; extracts from the employer's acts confirming the emergence and (or) termination of employment relations based on the conclusion and (or) termination of the employment contract; extracts from the payroll of employees; work record (list of information about the employee's work, work activity), signed and certified by the employer's seal; archival certificate containing information about the employee's work activity; a court decision that

has entered into legal force establishing a legal fact confirming the existence of an employment relationship.

57. It is recommended to provide a document confirming completion of the acceleration and/or business incubation program.

Section 8. Requirements for the form and content of the application for participation in the competition

58. The applicant submits an application for the competition electronically, certified by the digital signature of the project team members, the project team leader, and the applicant, through the Automated Information System of the Evaluation center at www.is.ncste.kz . After the application has passed the formal review, the applicant is assigned an individual registration number (hereinafter referred to as IRN).

59. The applicant bears full responsibility for the completeness and accuracy of the information provided, as well as for the authenticity of the text in the application for participation in the competition and in the reports on previously conducted scientific, scientific and technical projects and programs, including the results achieved.

Section 9. Formal examination

60. Upon completion of the application process, the Evaluation center conducts a check of the application's compliance with the Competition documentation (*hereinafter referred to as the formal examination*).

61. A formal examination is carried out to determine compliance with the requirements of the checklist (Appendix No. 4 to the Competition documentation),

62. The application is also checked to ensure that there is no double funding.

63. If instances of double funding are identified at any stage of project implementation, the Grantee, based on the decision of the NSC, undertakes to return the received grant amount for the commercialization of the R&D results in full.

64. In the event of any discrepancies being identified between the content of the application for a grant for the commercialization of the results of scientific and (or) scientific and technical activities in accordance with Appendix 1 , and the information entered into the AIS of the Evaluation center by the Applicant, the information contained in the AIS of the Evaluation center shall be considered priority and officially significant.

65. In the event of any discrepancies being identified between the Agreement on Joint Activities for the Implementation of the R&D Commercialization Project , as well as other documents submitted within the application (attached files

and documents), and the information entered into the AIS of the Evaluation center by the Applicant, the information contained in the submitted documents shall be considered to be of priority and officially significant.

66. The Evaluation center, through the information system, sends applications for revision that do not comply with the Competition documentation, indicating comments in accordance with the checklist (Appendix No. 4 to the Competition documentation).

67. Upon receipt of revised applications, the Evaluation center checks to ensure that previously indicated comments have been addressed in accordance with the checklist.

68. If the specified comments are not addressed, the Evaluation center will reject the application, and a notification will be sent to the e-mail address specified in the application indicating the comments in accordance with the checklist (Appendix No. 4 to the Competition documentation).

69. The Evaluation center rejects an application that does not meet the requirements of the Competition documentation for the following reasons:

    1) detection of forgeries, counterfeit documents confirming higher education, work experience, etc.;

    2) identification of facts of violation of scientific ethics (plagiarism and false co-authorship, duplication, appropriation of other people's data, fabrication and falsification of scientific data, etc.) based on the results of checking in the licensing system (platform) for detecting borrowings;

    3) in accordance with the comments on the checklist.

70. The applicant has the right to withdraw from participation at any stage of the competition by providing the Evaluation center with a written refusal to participate or by withdrawing the application in the electronic application system (AIS) before the end of the application period. After the announcement of the winners of the competition, the Applicant has the right to refuse participation in the implementation of the project by sending a written refusal to the Fund .

71. The Evaluation center conducts a technological and economic (marketing) examination of the application and sends a ranked list of projects to the NSC in accordance with the Rules for State Science and Technical Evaluation.

72. The NSC makes a decision on determining the winners of the competition (on grant financing of commercialization projects R&D results) in accordance with the NSC Regulations and Financing Rules.

73. Based on the decision of the NSC, the Evaluation center forms a list of competition winners.

74. The Fund shall enter into a grant financing agreement with the Grantee within 20 working days from the date of receipt of the list of competition winners from the Evaluation center (Appendix No. 7 to the Competition Documentation).

75. If the Applicant and the Grantee have not concluded the Commercialization Agreement for the R&D Project with the Science Fund within the specified period, the Fund shall submit a request for consideration by the Scientific Council to exclude the Applicant from the list of competition winners (Appendix No. 7 to the Competition Documentation).

Section 10. Application form

76. The applicant shall provide the following documents as part of his application:

1) application for a grant for the commercialization of the results of scientific and (or) scientific and technical activities in accordance with Appendix 1.
2) a copy of the certificate of accreditation of the Applicant as a subject of scientific and (or) scientific and technical activities, the validity period of which has not expired at the time of filing the application;
3) a copy of the current agreement on joint activities for the implementation of the R&D results commercialization project ;
4) copies of identity documents that have not expired at the time of application, diplomas, certificates, and CVs of project team members;
5) letters of intent or preliminary agreements confirming the interest of potential buyers in a product, work or service;
6) documents confirming the cost estimate (commercial proposals with alternatives from at least three potential suppliers and/or screenshots and/or links to websites and/or distributors and/or online electronic platforms and online advertisement platforms);
7) to a copy of the title documents on the existing facilities and equipment (if any);
8) a copy of the current security documents for intellectual property objects or applications for obtaining security documents for intellectual property objects from the Applicant (if any);
9) presentation of the project in accordance with Appendix No. 2;
10) documents confirming the ownership of intellectual property by the Applicant, Grantee or Co-financing Organization
11) Documents confirming tax deductions of at least 10 million tenge (ten thousand tenge) on average annually. If the Grantee applies a special tax regime providing tax incentives, financial statements for the relevant periods must be provided, confirming annual turnover of at least 50 million tenge (not applicable to startup companies).

77. The application shall indicate the R&D results registration number assigned by the Evaluation center.

78. Application documents must be submitted in the State or Russian language. If documents are submitted in another language, a translation into the state or Russian language is required.

79. The documents specified in subparagraphs 1), 3), 9), and 10) of paragraph 76 must also be submitted in English or with an English translation if they are copies of the document. The content of the documents in the State, Russian, and English languages must be identical.

Section 11. Requirements for project implementation

80. The following expenses are financed by the grant:

    1) salary fund for members of the project team involved in the implementation of the project (no more than 20% of the requested grant amount for the entire period of the project implementation, including taxes and other mandatory payments to the budget);

    2) purchase of new equipment and (or) software;

    3) preparation of production facilities for project implementation;

    4) purchase of consumables and components for project implementation;

    5) payment for works and services of third parties related to the implementation of the project (except for the items specified in paragraph 81 of the Competition documentation);

    6) lease of production sites, non-residential premises and equipment necessary for the implementation of the project (no more than 20% of the requested grant amount for the entire period of the project implementation), while the Grantee is prohibited from subleasing or releasing the leased property to third parties;

    7) protection of intellectual property (services of patent attorneys, payment of state and patent fees) for newly created intellectual property objects obtained during the implementation of the project ;

    8) costs of promoting a product or service on the market, which must amount to at least 5% of the requested grant amount;

    9) tax liabilities and other mandatory payments to the budget arising during the implementation of the project, with the exception of fines and penalties arising due to the fault of the Grantee;

    10) operating expenses (banking services, excluding expenses related to the purchase of intellectual property);

81. The following expenses are not eligible for funding from the grant:

1) production and (or) sale of tobacco products, alcoholic beverages, weapons and military equipment, gambling;
2) interest and/or repayment of debt to any third party;
3) expenses and reserves for possible future losses and (or) debts;
4) works, goods, services that were previously financed through government programs or state-owned companies and (or) organizations;
5) currency exchange losses, fines and penalties;
6) payments of bonuses and financial assistance, as well as compensation to employees of the Grantee;
7) entertainment expenses;
8) services related to recruitment;
9) subscription costs (newspapers, magazines, etc.);
10) acquisition of vehicles (except for specialized equipment, with the provision of detailed justification), land plots and real estate;
11) expenses for the construction and acquisition of buildings and residential/non-residential premises;
12) expenses for publishing scientific articles, participation in scientific events;
13) expenses aimed at maintaining the security documents in force received prior to the signing of the Agreement on the provision of a grant for the commercialization of the results of scientific and (or) scientific and technical activities;
14) travel expenses;
15) operating expenses for utilities, office supplies, postal services, communication and telecommunication services;
16) insurance costs ;
17) purchase of personal devices not related to the project objectives.

82. Projects approved for grant financing for the commercialization of the R&D results are implemented in the Republic of Kazakhstan.

83. The procurement of goods, works, and services within the framework of a project financed under the grant program is carried out through a request for at least three alternative commercial proposals in accordance with the legislation of the Republic of Kazakhstan. Suppliers submitting these proposals must not be affiliated with each other in accordance with the legislation of the Republic of Kazakhstan, nor must they be included in the register of unscrupulous suppliers.

84. The project participants are responsible for the targeted and effective use of grant funds, as well as for conducting procurement procedures for goods,

works, and services in accordance with the current legislation of the Republic of Kazakhstan and the requirements of this Competition documentation.

85. Substitution of the Applicant and Grantee during the project implementation period is not permitted.

86. The replacement of the project manager is submitted to the NSC for consideration.

87. The project manager may be replaced no more than once during the entire period of project implementation, except in cases where he/she is declared missing, dies, is declared deceased, or is declared incompetent or has his/her capacity limited in accordance with the law.

88. Replacement of other members of the project team at any stage of project implementation is permitted with the written consent of the Fund.

89. The legal relations regulated by the Law, in terms of the commercialization of R&D materials obtained within the framework of scientific research carried out using grant funding, are not subject to the legislation of the Republic of Kazakhstan establishing requirements for the procedure for carrying out procurement, including public procurement.

90. The Grantee is not permitted to purchase goods, works and services using grant funds from the parties to the grant agreement for the commercialization of the results of scientific and (or) scientific and technical activities participating in the implementation of the project.

91. The purchase of used equipment is not permitted.

92. When disclosing information related to the implementation of a project during and/or after completion of the project, Grantees are required to refer to the received grant, indicating the name of the Fund and /or authorized body, as well as the name of the project and its IRN assigned by the Evaluation center.

93. The project's implementation period is set for 2026-2028. Based on a decision by the National Scientific Council (NSC), the project may be extended for a total of up to 5 years, without increasing or reallocating the previously allocated grant amount.

94. Reasons for extending the project implementation period:

    1) the need to complete critical milestones - if the project has achieved results, but additional time is required to complete technological refinement or preparation for commercialization ;

    2) delays beyond the control of the Grantee – including objective external factors such as changes in the regulatory framework, force majeure or unforeseen technical difficulties ;

3) additional research or testing - if during the course of the project the need for additional validation, certification or testing is identified to confirm the product's readiness for commercial implementation ;

4) staged commercialization – if the Grantee has proven the success of the first stages of commercialization, but additional time is required to scale up production or enter the market ;

5) registration and patent procedures – if delays are related to obtaining intellectual property rights, product certification or other mandatory administrative procedures ;

6) and well-founded reasons, confirmed by documents and considered by the NSC on an individual basis ;

7) confirmed delay in receipt of funding due to reasons beyond the control of the Grantee, subject to the provision of relevant documents and justification for the need to postpone the deadline for completion of project activities.

95. Newly created objects of intellectual property within the framework of the project implementation are registered to the Grantee implementing the project.

96. The Grantee shall provide the Fund with interim reports for each stage within the timeframes established by the Agreement in accordance with the Accounting Rules, as well as a final report upon completion of the project, including a financial report on the use of grant funding.

97. During the implementation of the project, the Grantee, as necessary, redistributes grant funds between approved expense items in an amount not exceeding 10 (ten) percent of the total estimated expense for the project within each stage without the consent of the Fund, subject to the established limits of paragraph 80.

98. The Fund analyzes the targeted use of allocated funds, including on-site and post-grant monitoring of the implementation of activities and the Grantee's expenses. The Fund may perform these functions either independently or with the assistance of specialized organizations and/or independent experts.

99. The Fund has the right to carry out events to promote projects in order to achieve the expected results.

100. The Evaluation center monitors the implementation of projects at the stages of their implementation and completion, and sends its results to the NSC.

101. Participants in the commercialization of the R&D results undertake to strictly adhere to the principles and norms of the Law of the Republic of Kazakhstan "On Combating Corruption".

102. If employees or other persons engaged by the Grantee , or founders, or ultimate beneficiaries are found to have committed illegal actions directly related to the implementation of the project, including, but not limited to, theft or misuse of grant funds, the provision of knowingly false or forged documents and

information, or misleading the Fund in order to obtain or retain grant funding, the Fund will initiate the termination of the project and the recovery of all transferred grant funds with a penalty. The penalty is calculated as interest on the grant funding amount, based on the average annual base rate of the National Bank of the Republic of Kazakhstan for each reporting year from the date of receipt of funds until their repayment. Violations unrelated to project implementation and not having a significant impact on the achievement of the project's goals and results, including general administrative offenses, are not grounds for the application of this measure.

Section 12. Mandatory project implementation results

103. By the end of the project, the total revenue from its implementation must be at least 15% of the amount of the received grant and be confirmed by relevant financial statements.

104. The Grantee is obliged to ensure the achievement of the key performance indicators established in the application and set out in the Grant Financing Agreement.

105. The creation of new intellectual property (IP) during the project is permitted for the purpose of improving, adapting, or optimizing the product, provided that the technology readiness level (TRL) of the commercialized R&D project does not decrease. IP developed within the project must facilitate its commercialization and enhance the product's competitiveness.

Section 13. Post-grant monitoring

106. During the post-grant monitoring period, the Grantee is obliged to submit a report on the implementation of the project to the Science Fund twice a year - no later than January 10 and July 10, for each semi-annual reporting period.

107. The post-grant monitoring period begins from the moment of completion of the project implementation schedule and continues for three years from the moment the NSC approves the decision to complete the project.

Application for a grant for the commercialization of the results of scientific and (or) scientific and technical activities

SECTION 1: GENERAL INFORMATION

1. Project name:
[Indicate the full title of the R&D commercialization project. No more than 15 words]

2. Priority direction :
[Please select one or more priority areas from the list provided in the Competition documentation (e.g. Ecology, Agro-industrial complex, etc.).]

3. Project summary (abstract):

- *Briefly describe the main idea of the project, its purpose and expected results.*
- *Please indicate how your project fits into the priority areas .*
- *The text volume should not exceed 300 words.*

4. Keywords
[Words characterizing the priority area for the selection of experts.]

5. R&D results number

6. Was the obtainment of these R&D results financed from the state budget?
[GF/PCF/FNI]

7. Technology Readiness Level (TRL):
[Indicative, rationale below]

SECTION 2: INFORMATION ABOUT THE PARTIES

8. Applicant:

1) Full name:
[Indicate the official name of the organization, BIN]

2) Legal address:
[Please provide your legal address including postal code.]

3) Contact details (phone, email, website):

4) Certificate of Accreditation:
[Attach a copy of your accreditation certificate as a subject of scientific and/or scientific-technical activity. Ensure that the certificate is not expired.]

9. Grantee (in the case of a start-up company, this information is not provided)

1) Full name:
[Indicate the official name of the organization, BIN]

2) Legal address:
[Please provide your legal address including postal code.]

3) Contact details (phone, email, website):

4) Confirmation of economic activity
[A document confirming tax deductions in the amount of at least 10 million tenge per year for at least the previous three years. Alternatively, financial statements for the relevant periods; for legal entities under a special tax regime]

10. Co-financing organization (if any):

1) Name of the Co-financing Organization:
[Indicate the full name of the Co-financing Organization, BIN]

11. Joint Activity Agreement:
[Attach a copy of the current agreement on joint activities for the implementation of the R&D RESULTS commercialization project in accordance with Appendix 6]

SECTION 3: PROJECT MANAGER AND PROJECT TEAM

12. Project Manager:

1) Last name, first name, patronymic

2) Citizenship
[Must be a citizen of the Republic of Kazakhstan.]

3) Contact details (phone, email)

4) Resume
[Please attach a resume including education, academic degree (if applicable), work experience, key competencies and achievements.]

13. The first manager of the Grantee *(in the case of a start-up company, the details of the project manager are provided)*

1) Last name, first name, patronymic

2) Contact details (phone, email)

3) Resume
[Please attach a resume including education, academic degree (if applicable), work experience, key competencies and achievements.]

4) Confirmation experience
[Confirmation that the legal entity managed by the first director paid taxes to the state budget in the amount of at least 10 million tenge per year during the given period. If the said legal entity applied a special tax regime providing tax preferences , it is alternatively possible to provide financial statements for the relevant periods.]

14. Project team composition:
[List the project team members (3 to 5 people). For each member, provide the following information:]

1) Last name, first name, patronymic:

2) Role in the project:

[Describe the role and responsibilities of the project team member in the technical implementation of the project. Indicate the time of their involvement.]

3) Citizenship/Residency:
[Must be a citizen and/or resident of the Republic of Kazakhstan.]

4) Contact details (phone, email):

5) Documents:
   - A copy of the identity document.
   - Copies of diplomas, certificates, and attestations.
   - A resume indicating education, work experience, and key skills.
   - For marketers and accountants, it is additionally necessary to attach documents confirming their employment history.
   - For an accountant, please attach a copy of a valid Kazakhstani professional accountant certificate.

SECTION 4: PROJECT TECHNOLOGICAL PLAN

15. Description of the commercializable result of scientific and (or) scientific and technical activity (STI):

- *Provide a detailed description of the R&D activities that underlie the project.*
- *Explain the scientific and technical novelty and uniqueness of your R&D project, and the history of its development.*
- *Specify the technology readiness level (TRL) and justify compliance with level 6 or higher according to the TRL Determination Methodology.*
- *The text volume should not exceed 500 words.*

16. Description of the product and its competitive advantages:

- *Describe in detail the final product, process, or service that will be commercialized in the project.*
- *Specify technical characteristics and advantages over existing analogues.*
- *Describe how the product will be integrated into the market and what benefits it will bring to consumers.*
- *Describe existing products in the chosen area and their limitations.*
- *Conduct a comparative analysis of your product with similar products on the market.*
- *The text volume should not exceed 1000 words.*

17. Project goals and objectives:

- *Formulate an overall project goal that reflects the end result you are trying to achieve.*
- *The objective should not exceed 50 words.*
- *Break the goal down into specific tasks that need to be accomplished.*
- *The goal and objectives must be SMART (specific, measurable, achievable, relevant and time-bound).*
- *The volume of tasks should not exceed 300 words.*

18. Technical solution and innovation:

- *Describe how the process of producing a product or providing a service will be organized: the sequence of operations, key technological stages, and the methods used.*
- *Specify how the process will be ensured to meet established quality standards and market requirements.*
- *If necessary, please attach production cycle diagrams or process charts.*
- *Specify the standards and regulations that your product or service will comply with.*
- *The text volume should not exceed 500 words.*

19. Project implementation plan:

- *Divide the project into stages or phases, specifying time frames for each stage.*
- *For each stage, describe:*
    - *Specific events and actions.*
    - *Expected results and specific performance indicators that will indicate the stage has been completed. Specify the completion method.*
    - *Necessary resources and responsible persons.*

Example of stage structure:

Stage 1: Preparatory

- *Timeframe: January 2025 – March 2025*
- *Activities: development of technical documentation, purchase of equipment.*
- *Results: Readiness to begin development work. Technical documentation has been prepared, and the equipment is available.*

Stage 2: Experimental design work

- *Timeframe: April 2025 – December 2025*
- *Activities: creating a prototype, testing basic functions.*
- *Results: a working prototype of the product was created, data on the effectiveness of the main functions was obtained.*

Table 1- *Project schedule*

Stage	Event	Event start (month, year)	Event end (month, year)	Result	Required resources and responsible person

20. Resources and logistics:

— *List your available resources (hardware, software, infrastructure). Attach supporting documents for the database.*
— *Describe the additional resources that are planned to be purchased using grant funds.*
— *Justify the necessity of each resource for the successful implementation of the project.*
— *The text volume should not exceed 1000 words.*

Table 2 - *Description of the raw material base required for the project implementation*

No.	Name of raw materials	Required quantity per year	Owner of raw materials	Location of the owner and raw materials	Method of delivery to the point of sale	Transfer to the Grantee, either for a fee or free of charge*
1						
2						
3						
…						

*If the transfer of raw materials to the Grantee is expected to be in a reimbursable form, then it is necessary to indicate the estimated cost of acquiring these raw materials.

21. Risk assessment and management plan:

— *Identify potential technical risks (e.g. technical difficulties, equipment delivery delays).*
— *Assess the likelihood and impact of each risk.*
— *Suggest measures to prevent or minimize risks.*

Table 3 - *Example of a risk table:*

Risk	**Probability**	**Influence**	**Risk management measures**
Delay in equipment delivery	Average	High	The risk of equipment delivery delays can be minimized through careful supplier selection, buffer time, strict contracting with penalties for late delivery, deadline

			insurance, logistics optimization with alternative routes, advance customs documentation preparation, and the availability of backup solutions such as similar products, spare components, or temporary equipment rental.
Technical difficulties in developing a prototype	Low	Average	Technical challenges during prototype development can be minimized through detailed design, the use of proven technologies and a modular approach, early testing of key components, the involvement of experts, rapid prototyping (3D printing, simulations), the availability of backup solutions, and adaptive project management. It is important to anticipate potential problems in advance, conduct regular testing, and adjust solutions as needed.

22. Key Performance Indicators (KPIs):

- *Establish quantitative and qualitative indicators for reporting periods by which the progress and success of the project will be assessed.*
- *Once approved, the specified indicators will become an integral part of the agreement on grant financing for the R&D results commercialization project and will be mandatory for execution.*
- *Failure to achieve established KPIs is considered a violation of the terms of the contract and entails the application of the liability measures provided for in the contract.*
- *Examples of KPIs: prototype performance, number of successfully completed tests, adherence to milestone deadlines.*

23. Environmental and social aspects:

- *Assess the potential environmental impact of the project.*
- *Describe measures to mitigate negative impacts (if applicable).*
- *Indicate how the project can contribute to the socio-economic development of a region or country.*
- *The text volume should not exceed 500 words.*

SECTION 5: ECONOMIC (MARKETING) PLAN OF THE PROJECT

24. Contents of the economic (marketing) plan:

1) Market and competitive environment analysis
   - *Description of the target market: size, segments, growth rates, key trends.*
   - *Analysis of the competitive environment: main players, their market share, strengths and weaknesses.*
   - *Needs and preferences of the target audience: key criteria for product selection.*

- *Analysis of barriers to market entry: legislative, economic, technological.*

2) Marketing strategy
   - *Target market segments and their characteristics.*
   - *Unique selling proposition: what makes your product different from your competitors.*
   - *Promotion plan: advertising channels, PR activities, digital marketing.*
   - *Pricing: pricing strategy and rationale for the chosen approach.*

3) Sales and Revenue Forecast
   - *Expected sales volumes by year (in physical and monetary terms).*
   - *Revenue structure by distribution channels.*
   - *Expected changes in demand: seasonality, influence of market factors.*
   - *Project payback forecast.*

SECTION 6: FINANCIAL INFORMATION

25. Requested grant amount:

— Total grant amount:

[Indicate the total amount (no more than 250,000,000 tenge).]

— Distribution by year:

— for 2026 – *(no more than 75 (seventy-five) million)* (30%);

— for 2027 – *(no more than 125 (one hundred twenty-five) million)* (50%);

— for 2028 – *(no more than 50 (fifty) million)* (20%).

26. Co-financing:

— Source of co-financing:

[Indicate the funds that will be used for co-financing (own funds, funds of the Co-financing Organization, etc.).]

— Co-financing amount:

[Indicate the amount of co-financing in accordance with the project implementation mechanism (at least 25%, 30% or 45% of the total grant amount).]

27. Cost estimate:

[Please detail your planned expenses by the following items:]
[Attach supporting documents: commercial proposals from at least three potential suppliers, screenshots, links to official websites, etc.]

Table 4 - *Cost estimate*

No.	Name of expenses	Sum	Tranche (by project stages, indicate amount)	

			1	**2**	**3**	**Source of funding (grant and/or co-financing)**
1	Payroll fund according to the staffing table					Grant
						Co-financing
						Total
2	Purchase of equipment and/or software					Grant
						Co-financing
						Total
3	Preparation of production facilities					Grant
						Co-financing
						Total
4	Purchase of consumables and components					Grant
						Co-financing
						Total
5	Payment for services and (or) work of third parties					Grant
						Co-financing
						Total
6	Rental of production sites, premises and equipment					Grant
						Co-financing
						Total
7	Protection of intellectual property					Grant
						Co-financing
						Total
8	Travel expenses					Co-financing
9	Costs of promoting a product and/or service to the market					Grant
						Co-financing
						Total
10	Tax liabilities and other mandatory payments to the budget					Grant
						Co-financing
						Total
11	Operating expenses					Grant
						Co-financing
						Total
12	Other co-financed costs					Co-financing
	Total grant:					Grant
	Total co-financing:					Co-financing
	Total:					Total

Note: The cost estimate is prepared in accordance with the project schedule and cannot be allocated to other cost items unrelated to the stated project. The total amount of all cost items constitutes the total project cost and must equal the stated cost.

28. Explanation and justification of cost estimate items

Table 5 - *Staffing schedule*

No.	Full name	Job title	Degree of participation	Total number of working months	Salary per month	Payroll Fund			Source of funding (grant and/or co-financing)	Total
						Stage 1	Stage 2	Stage 3		

1		Project Manager								
2		Marketer								
3		Accountant								
4										
Total:										

Note:

- *When drawing up a staffing schedule, it is necessary to strictly comply with the requirements of the labor legislation of the Republic of Kazakhstan.*
- *employment contracts with the Grantee are concluded with all members of the project group;*
- *the salary fund for the members of the project team involved in the implementation of the project, not exceeding 20% of the requested grant amount;*
- *The project manager for the duration of the project implementation must be a full-time employee of the Grantee on the basis of an employment contract.*

Table 6 - *Purchase of equipment and/or software*

No.	Name of equipment and (or) software	Cost (tenge)		Amount/Stage (at what stage the purchase is made)			Source of funding (grant and/or co-financing)	Justification of need and cost (Internet links, links to commercial offers, and/or screenshots, and/or links to websites, descriptive justifications, links to letters)
		per unit	Sum	1	2	3		
1								
2								
...								
Total								

Note:

- *the equipment must be new and meet the goals and objectives of the project;*
- *mandatory availability of a certificate of origin or technical passport from the manufacturer or official dealer in the Republic of Kazakhstan;*
- *the equipment must ensure the quality of manufactured products in accordance with Kazakhstani standards;*
- *a warranty period is required.*

Table 7 - *Preparation of production facilities intended for the organization of a production line*

No.	Name of works	Units of measurement	Quantity	Price	Amount/Stage (at what stage the purchase is made)			Source of funding (grant and/or co-financing)	Justification of need
					1	2	3		
1									
2									
…									

Table 8 - *Consumables and components*

No.	Name of consumables and components	Units of measurement	Cost (tenge)		Amount/Stage (at what stage the purchase is made)			Source of funding (grant and/or co-financing)	Justification of need and cost (Internet links, links to commercial offers, and/or screenshots, and/or links to websites, descriptive justifications, links to letters)
			per unit	Sum	1	2	3		
1									
2									
.									
Total									

Table 9 - *Payment for works and services of third parties related to the implementation of the project*

No.	Name of service	Unit cost of service	Quantity	Amount/Stage (at what stage the service is purchased)			Source of funding (grant and/or co-financing)	Justification of need and cost (Internet links, links to commercial offers, and/or screenshots, and/or links to websites, descriptive justifications, links to letters)
				1	2	3		
1								
2								
…								

Note: Support staff may only be involved if their need is clearly justified.

Table 10 - *Rental of industrial premises and equipment*

No.	Name of the rented property	Characteristics of the object (area, equipment capacity, etc.)	Unit of measurement	Quantity	Price	Amount/Stage (at what stage the service is purchased)			Source of funding (grant and/or co-financing)	Justification of need
						1	2	3		
1										
2										
…										

Note: The cost of renting (leasing) premises and equipment should not exceed 20% of the requested grant amount.

Table 11 - *Protection of intellectual property (in case of creation of an intellectual property object)*

No.	Description of expenses for intellectual property protection (attorney services, fees, commissions, etc.)	Unit cost of service or duty	Quantity	Total cost	Amount/Stage (at what stage the service is purchased)			Source of funding (grant and/or co-financing)	Justification of need
					1	2	3		
1									
2									

…									

Note:

1) if there are no security documents for the intellectual property at the time of filing the application, this section is mandatory to complete;

2) only expenses related to filing and obtaining a patent are permitted, and it is permitted to pay for those patent applications that relate only to the subject matter of a given project.

Table 12 - *Travel expenses (at the expense of co-financing)*

No.	Settlement (each business trip separately)	Category of settlement	Daily allowance	Accommodation	Round trip fare for one person	Amount/Stage			Justification of need
						1	2	3	
1									
2									
...									

Note: travel expenses must comply with the standards established by the current legislation of the Republic of Kazakhstan.

Table 13 - *Costs of promoting a product, work or service to the market*

No.	Name of service	Unit cost of service	Quantity	Amount/Stage (at what stage the service is purchased)			Source of funding (grant and/or co-financing)	Justification of need
				1	2	3		
1	Website creation							
2	Seminars with potential buyers							
3	Video about a product and/or service							
4	Exhibition model							
5	Handout							
6	Target							
7	Social media promotion							
8	Placing products in marketplaces							
9	Development of trademarks, labels, etc.							
10	Other							

Note: Costs of promoting a product, work or service to the market must be at least 5% of the requested grant amount and may include, but are not limited to, the above list.

Table 14 - *Tax liabilities and other mandatory payments to the budget*

No.	Name of tax	Amount/Stage			Source of funding (grant and/or co-financing)
		1	2	3	
1	Value Added Tax (if the Grantee is not registered for VAT during the project implementation, the estimate must be calculated taking into account VAT withheld at the source of payment (Legal Entity)				
2	Corporate income tax				
3	Social tax				
4	Social contributions				
5	Compulsory social health insurance				
6	Mandatory pension payments by the employer				

Note: taxes not specified in the table are paid from the Grantee's own funds or from co-financing funds.

Table 15 - *Operating expenses*

No.	Name of operating expenses	Unit cost	Quantity	Amount/Stage (at what stage the service is purchased)			Source of funding (grant and/or co-financing)	Justification of need
				1	2	3		
1	Lease of non-residential premises (offices)							
2	Banking services							
	…							

Note: This item does not include the costs reflected in Table 16.

Table 16 - *Other co-financed costs*

No.	Name of expenses	Unit cost	Quantity	Total cost	Amount/Stage			Justification of need
					1	2	3	
1	Acquisition of premises							
2	Acquisition of a land plot							
3	Construction							
4	Public utilities							
5	Stationery							
6	Postal services							
7	Communication and telecommunication services							
…								

Note: This article includes expenses from co-financing funds that are not included in the list of expenses permitted from grant funds.

SECTION 7: MATERIAL AND TECHNICAL BASE

29. Availability of equipment and premises:

[Describe the existing material and technical base that will be used in the project (equipment, production facilities, etc.).]

[Please attach copies of title documents for the specified property (if any).]

Table 17 - Information *on the existing material and technical base that will be used to implement the project*

No.	Material and technical base facility (with technical characteristics)	Quantity	Name of owner*	On what basis will it be used in the project**
1	Production premises			

2	Office space			
3	Production equipment			
4	Etc.			
…				

*Applicant, potential Grantee, Co-financing Organization, other (specify).
**If the owner is not the potential Grantee, then it is necessary to indicate on the basis of which document the specific object will be used in the implementation of the project.

SECTION 8: INTELLECTUAL PROPERTY

30. Existing intellectual property objects (IP) related to the commercialized R&D project:

[Attach copies of current security documents for the intellectual property rights or applications for their receipt, or other documents confirming the transfer of ownership to the applicant.]

[When describing the intellectual property object(s), please indicate:

- *Availability of security documents (patents, applications, certificates, know-how, etc.).*
- *Type of intellectual property (invention, utility model, industrial design, computer program, database, selection achievement, know-how, etc.).*
- *Type of protection document (patent, application, international or Eurasian patent, copyright registration certificate, etc.).*
- *The priority number and date of the document or application.*
- *Full name of the intellectual property rights, authors and copyright holders.*
- *Current status of the security document (valid, terminated, can be restored).*
- *For know-how, the availability of documents on the content, commercial secrecy regime, and commercial value.*
- *Results of the cost assessment (if carried out).*
- *The existence of agreements on the transfer of rights or encumbrances on the use of intellectual property.*

31. Plan for the protection of intellectual property (IP) objects:

- *Describe the current status of intellectual property related to the project topic (registered patents, filed applications, copyright certificates, etc.).*
- *Provide a strategy for the legal protection of new intellectual property rights that are expected to be created during the implementation of the project.*
- *Specify the planned actions: filing applications, registration, patenting (including international patenting, if any), and the expected timeframe for their completion.*
- *Specify how intellectual property rights will be ensured in the event that IP is created by several project participants.*

SECTION 9: PROJECT SUPPORT

32. Letters of Intent:

[Attach letters of intent or preliminary agreements from potential buyers confirming their interest in your product, work or service.]

33. Participation in acceleration programs:
[If you or members of the project team have completed acceleration or business incubation programs, please attach relevant certificates or attestations.]

SECTION 10: REPRESENTATIONS

34. Statement of accuracy of information:

I, the undersigned, confirm that all information provided in this application is complete and accurate. I understand that providing inaccurate information may result in rejection of the application and other consequences in accordance with the laws of the Republic of Kazakhstan. I accept and confirm that I have read the terms and conditions of the Competition documentation.

35. Consent to the processing of personal data:

We, members of the project team, consent to the processing of our personal data within the framework of the competition and the implementation of the project in accordance with the legislation of the Republic of Kazakhstan.

SECTION 11: SIGNATURES

36. Project Manager:
Full name
Signature: _____
Date:

37. Project team members:
Full name
Signature: _____
Date:

[Continue the list for all project team members.]

38. Applicant's authorized representative:
Position: [Indicate position (e.g. director, rector).]
Full name
Signature: _____
Organization seal
Date:

ОЙДАН ІСКЕ АСЫРУҒА ДЕЙІН!
ОТ ИДЕИ ДО ВОПЛОЩЕНИЯ!
АКЦИОНЕРЛІК КОҒАМЫ
ҒЫЛЫМ ҚОРЫ
ФОНД НАУКИ
АКЦИОНЕРНОЕ ОБЩЕСТВО

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9 slides

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- ✔ maximum visual elements
- ✔ quality illustrations

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slide 1

Title of the commercialization project

(concise, exhaustive and clear – under 15 words)

- ❑ Objective of the project (end goal of commercialization project)
- ❑ Project scope (few key tasks to achieve set goal of commercialization project)

Slide 2

Problem statement

(Effectively formulate no more than 5 problems faced by your target audience and reflect the relevance of the problem.)

Solution

(Describe your solution to the problems of your target audience in no more than two sentences, explaining why your solution is better than others on the market)

End user

(Target audience)

Who is the end user of the products/services/technologies?

Slide 3

Description of product/service

Description of the product/service as a solution to these problems

+ high-quality photo

Scientific innovation of the project

What is the scientific novelty (new knowledge obtained as a result of scientific research, proposed for further commercialization)?

Slide 4

Scientific justification of R&D results

(specific facts reflecting the dynamics of the project's development)

- ❑ R&D results (When R&D was completed, TRL level, availability of samples, patents, articles, technical documentation, etc.)
- ❑ Results of work on commercializing the project (pilot production, initial sales, signed contracts, etc.)

Slide 5

Potential market volume

(provided in monetary and quantitative terms)

- ❑ TAM – Total Addressable Market
- ❑ SAM – Serviceable Available Market
- ❑ SOM - Serviceable Obtainable Market (given 100% project capacity)

! Video on potential market volume: https://youtu.be/dgYUcEuf-34?t=1m5s

Slide 6

Comparative advantage – a feature of a product or service that is perceived by the target audience as a significant advantage over similar products or services

Comparative table with analogues

(presence of an indicator to be marked with ✓, and its absence with ✗)

Indicators indictors should reveal comparative advantage of the product/service	Your product/ service	Analogue 1 (title and manufacturer)	Analogue 2 (title and manufacturer)	Analogue 3 (title and manufacturer)
Indicator 1 (title, unit of measure)				
Indicator 2 (title, unit of measure)				
Indicator 3 (title, unit of measure)				
.....				
Sales price, tenge				

Slide 7

Business models

- ❑ Monetization method, sales channels

Slide 8

Project team

- ❑ Photo of project team
- ❑ Role in the project and expertise of each team member

Slide 9

Contact details

Appendix No.3
to the Competition
documentation for grant
financing of projects for the
commercialization of the
results of scientific and (or)
scientific and technical
activities for 2026-2028

List of required documents for a comprehensive verification of the Grantee's status and concluding an agreement

Documents to be provided by the Grantee:

1) certificate of state registration/re-registration of a legal entity;
2) a copy of the charter, certified by the Grantee's seal (if there is no seal, the charter must be notarized); in the case of carrying out activities on the basis of a standard charter, written confirmation must be submitted;
3) a copy of the identity card of the first manager;
4) a copy of the Decision/Minutes of the governing body or an extract from it, on the election/appointment of the first manager, certified by a seal (if there is no seal, notarization is required);
5) a certificate from the bank on company letterhead with a seal about the opening/existence of a current account for co-financing funds (if any);
6) a power of attorney issued by the first manager to an authorized person for the right to sign a grant agreement (if necessary), a copy of the identity card of the authorized person, a copy of the order of appointment to the position;
7) a copy of the license agreement (contract) on the transfer of rights to an intellectual property object (IP) (if a patent exists) certified by the Grantee's seal and registered with the authorized body (National Institute of Intellectual Property) (in special cases, subject to the provision of the necessary justification by the Applicant to the Science Fund, this procedure may be completed within no more than 3 months of project implementation) or a copy of the agreement on the transfer of rights to the R&D results (the original document must be provided for verification);
8) a certified copy of the corporate resolution of the governing body of a legal entity (if there is no seal, a notarized certification is required), whose competence includes making decisions on the conclusion (transactions) of an agreement on the provision of a grant for the commercialization of the results of scientific and (or) scientific and technical activities in accordance with the legislation of the Republic of Kazakhstan and (or) constituent documents, indicating the amount of the agreement and the name of the project, including the IRN (decision of the sole shareholder, general meeting of shareholders, board of directors or other authorized governing bodies of the legal entity), unless otherwise provided by the constituent documents;
9) Certificate of registration for value added tax.
10) Qualification documents on financial stability

Documents to be provided by the Applicant who has won the competition (for legal entities):

1) a certificate of state registration/re-registration of a legal entity or a copy of the certificate of state registration/re-registration of a legal entity;
2) a copy of the charter or regulations of the branch/representative office certified by a seal (if there is no seal, notarization is required); in the case of carrying out activities on the basis of a standard charter, written confirmation is provided; a copy of the general power of attorney issued to the head of the branch/representative office certified by a seal (if any);
3) a copy of the identity card of the chief executive officer or authorized person who has been granted the right to sign the grant agreement;

4) a copy of the Decision/Minutes of the governing body or an extract from it, on the election/appointment of the first manager, certified by a seal (if there is no seal, notarization is required);

5) a power of attorney issued by the first manager to an authorized person for the right to sign a grant agreement (if necessary), a copy of the identity card of the authorized person, a copy of the order of appointment to the position;

6) an extract from the decision of the participants or the sole participant of a legal entity to participate in the authorized capital of a start-up company;

7) a certified copy of the corporate resolution of the governing body of a legal entity (if there is no seal, a notarized certification is required), whose competence includes making decisions on the conclusion (transactions) of an agreement on the provision of a grant for the commercialization of the results of scientific and (or) scientific and technical activities in accordance with the legislation of the Republic of Kazakhstan and (or) constituent documents, indicating the amount of the agreement and the name of the project, including the IRN (decision of the sole shareholder, general meeting of shareholders, board of directors or other authorized governing bodies of the legal entity), unless otherwise provided by the constituent documents;

Information and documents to be provided by the Applicant who won the competition (for individuals):

1) copy of identity card (details, contact information)
2) permanent and temporary (if any) registration address;
3) permanent residence address;
4) telephone number registered to the Applicant;
5) email address;
6) bank details.

Appendix 4
to the Competition documentation
for grant financing of projects for
the commercialization of the
results of scientific and (or)
scientific and technical activities
for 2026-2029

Checklist

IRN: _____
Project name: _____
Applicant: _____

No.	Criterion		Expert commentary
1. Financial parameters			
1.1	The requested grant amount does not exceed 250 million tenge (2026 – up to 75 million, 2027 – up to 125 million, 2028 – up to 50 million).	☐	
1.2	Co-financing funds are distributed proportionally between stages (with the possibility of increasing the share at the initial stages).	☐	
1.3	The financial solvency of the Grantee has been confirmed (more than 3 years of operation, taxes more than 10 million tenge/year or turnover more than 50 million tenge).	☐	
2. Project manager and project team			
2.1	The project manager is a citizen of the Republic of Kazakhstan.	☐	
2.2	The project manager has a higher education.	☐	
2.3	The Grantee's first manager has more than 3 years of experience in managing a legal entity with proof of financial performance.	☐	
2.4	The project team includes a marketer with over 3 years of experience in technology commercialization or business development.	☐	
2.5	The project team includes an accountant with a valid professional accountant certificate and more than 3 years of experience.	☐	
3. Documents attached to the application			
3.1	The application is submitted in the form of Appendix No. 1.	☐	
3.2	Availability of a copy of the Applicant's accreditation certificate (not expired).	☐	
3.3	Availability of documents confirming the TRL level (not less than 6).	☐	
3.4	Availability of a copy of the cooperation agreement (indicating co-financing, conditions, name of the project and competition) or an application for sole implementation.	☐	
3.5	Availability of copies of documents of project team members (identity cards, diplomas, certificates, CVs).	☐	
3.6	Availability of documents confirming cost estimates (commercial proposals, screenshots, links).	☐	
3.7	Availability of a project presentation in the form of Appendix No. 2.	☐	
3.8	Availability of letters of intent or preliminary agreements from potential buyers.	☐	
3.9	All provided documents are valid (not expired).	☐	
4. Legal status of the Grantee			
4.1	The Grantee is a legal entity	☐	
4.2	The grantee is not in a state of bankruptcy, liquidation or rehabilitation, and no bankruptcy claims have been filed against it.	☐	

Conclusion: Complies/Does not comply with the requirements of the Competition documentation for grant financing of commercialization projects of the Results of scientific and (or) scientific and technical activity for the period 2026–2028.

Director of the Evaluation Department of JSC "NCSTE" _____ Full name

_____ Full name

Authorized person of JSC "NCSTE"

Date: "___" _____ 202__

Appendix No. 5
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

GUARANTEE AGREEMENT
BETWEEN
JOINT STOCK COMPANY "SCIENCE FUND" (THE "FUND") AND
[INDICATE THE NAME OF THE FOUNDER OF THE GRANTEE, WHICH IS A START-UP COMPANY]
("GUARANTOR")

This guarantee agreement is concluded in the city of Astana on [*indicate date*] between:

(i) **JOINT STOCK COMPANY "SCIENCE FUND"**, hereinafter referred to as **THE "FUND"** , represented by [indicate position], acting on the basis of [indicate document], on the one hand,

(ii) [indicate name]/[indicate full name], hereinafter referred to as the **"GUARANTOR"** , represented by [indicate position], acting on the basis of [indicate document]], on the other hand, hereinafter jointly referred to as **THE "PARTIES"** , and individually as a "Party" or as specified above, on the following terms and conditions.

1Definitions and interpretations

1.1 In this Agreement, capitalized terms have the following meanings:

1) **" GRANTEE** " means [indicate the legal form and name], BIN: [indicate the BIN];

2) " **GUARANTEE AGREEMENT** " means this Guarantee Agreement;

3) "**GRANT AGREEMENT ON THE COMMERCIALIZATION OF THE RESULTS OF SCIENTIFIC AND (OR) SCIENTIFIC AND TECHNICAL ACTIVITIES** " means the Agreement on the provision of a Grant for the commercialization of the results of scientific and (or) scientific and technical activities, concluded between the Fund and the Grantee dated [indicate date] No. [indicate number];

4) " **SECURED OBLIGATIONS** " - has the meaning given in clause 2.1 of the Guarantee Agreement;

1.2 In the Guarantee Agreement , unless the context expressly provides otherwise:

1) words used in the singular may, depending on the context, imply a plural meaning and vice versa;

2) a reference to any particular Article, clause or Appendix means a reference to the relevant Article, clause or Appendix of this Guarantee Agreement;

3) any reference to an agreement includes any addition, amendment, assignment of rights (claims), transfer of debt, new version or re-entry into force of such an agreement and shall be interpreted as a reference to this agreement taking into account all such amendments made to it from time to time;

4) The headings and table of contents are provided solely for convenience of reference and shall not affect the interpretation of this Guarantee Agreement;

5) any reference to a document in "agreed form" means that the form of such document has been agreed upon by the parties to this Agreement and there is evidence of such agreement between the Parties;

6) any reference to a provision of a law or other regulatory legal act is a reference to such provision taking into account the amendments and additions made to it;

7) any reference to a "person" includes any person (whether natural or legal), firm, company, joint-stock company, government, state or governmental agency, and any association, union or business partnership (whether incorporated or unincorporated), and references to any "person" include the successors and permitted assigns of such person;

8) the words "including" and "including" are deemed to be supplemented by an expression without "limitation" even if that expression does not follow them.

2Subject of the agreement

2.1 Under this Guarantee Agreement, the Guarantor assumes full joint and several liability for the performance by the Grantee of the following obligations of the Grantee to the Fund (“ ***SECURED OBLIGATIONS*** ”):

2.1.1 the Grantee's monetary obligations, as established by clause 3.6.5. of the R&D results Commercialization Agreement, namely:

1) transfer the Co-financing amounts to the Co-financing Account within the timeframes and in the amounts specified in Appendix 1 of the Agreement on the commercialization of the R&D RESULTS;

2) ensure that by the Project Completion Date the Grantee’s total income from the implementation of the Project is no less than [indicate the percentage]% ([indicate the percentage in words] percent) of the total amount of the Grant;

3) transfer 50% of the total amount of Co-financing to the Co-financing Account before transferring the first tranche of the Grant, with the provision of a certificate from the bank servicing the Co-financing Account confirming the receipt of the specified amounts of Co-financing;

4) return to the Fund the Undisbursed Amount of the Grant (if any) within 10 (ten) Business Days after the date of completion of each stage of the Project;

5) return to the Fund the Grant funds spent not in accordance with the Agreement on the commercialization of the R&D results, within 10 (ten) days from the date of sending the corresponding request by the Fund.

6) The obligations of the Grantee to pay penalties, fines, and penalties assessed by the Fund in accordance with Article 8 of the Agreement on the Commercialization of the R&D results and/or legislation in connection with the failure to fulfill and/or improper fulfillment by the Grantee of obligations under the Agreement on the Commercialization of the R&D results.

3Rights and obligations of the parties

3.1 The Guarantor undertakes to bear full joint liability with the Grantee to the Fund for the fulfillment by the Grantee of the Secured Obligations to the same extent as the Grantee.

3.2 The Guarantor undertakes to fulfill the obligations specified in paragraph 2.1 of this Guarantee Agreement within five Business Days from the date of receipt of the relevant request from the Fund.

3.3 The basis for the Guarantor’s liability is the failure to perform and/or improper performance by the Grantee of any of the Secured Obligations.

3.4 In fulfillment of the Guarantor's obligations under this Guarantee Agreement, the Fund has the right to foreclose on any property of the Guarantor.

3.5 All amendments and changes to this Agreement that entail an increase in liability or other adverse consequences for the Guarantor must be made only with the written consent of the Guarantor.

4Guarantee periods

4.1 The Guarantee expires:

1) on the date of full performance by the Grantee and/or the Guarantor of all Secured Obligations;
2) in other cases provided for by the legislation of the Republic of Kazakhstan.

4.2 There is no fee for providing a guarantee under this Guarantee Agreement.

5Applicable law and dispute resolution procedure

5.1 Agreement guarantees are subject to interpretation and regulation in accordance with the legislation of the Republic of Kazakhstan.

5.2 The Guarantor confirms that upon signing this Agreement, he/she is not limited in legal capacity/capacity, is not under the influence of error, fraud, violence, threat, or malicious agreement, and that the content, terms, and procedure for the execution of this Guarantee Agreement and the consequences of its conclusion have been explained and understood by him/her, and that he/she has signed it without any pressure from the Fund, the Grantee or third parties, or as a result of a combination of difficult circumstances, understands the essence of the Guarantee Agreement being signed and its terms are not enslaving for the Guarantor.

5.3 Disputes and claims arising from this Guarantee Agreement shall be resolved by the Parties through negotiations.

5.4 In case of failure to reach an agreement through negotiations, disputes and disagreements shall be resolved in court in accordance with the legislation of the Republic of Kazakhstan in the competent court of Astana.

6Final Provisions

6.1 This Guarantee Agreement shall enter into force on the date of signing specified in the first line of the Guarantee Agreement and shall remain in effect until the Grantee and/or the Guarantor have fully fulfilled all Secured Obligations.

6.2 Unilateral refusal to perform this Guarantee Agreement and unilateral amendment and/or addition to this Guarantee Agreement shall not be permitted.

6.3 Neither Party shall have the right to transfer its obligations under the Guarantee Agreement to third parties without obtaining the prior written consent of the other Party.

6.4 The guarantee agreement is drawn up in 2 (two) copies, one copy for each Party.

7Addresses, details and signatures of the parties

SCIENCE FUND	**GUARANTEE**
Joint Stock Company "Science Fund"	Name/Full Name:
Address: Astana, Tauelsizdik Ave., 41, 4th floor	Legal Address/Residential Address:
BIN 061140001887	BIN/IIN:
IIK/IBAN _____	IIC/IBAN
KBe 16, JSC "Halyk Bank of Kazakhstan", BIC HSBKKZKX	BIC/SWIFT
Chairman of the Board	[job title, if applicable]
Signature: _____	Signature: _____
Full name	Full name

Cooperation Agreement for the implementation of a project for the commercialization of the results of scientific and (or) scientific and technical activities No. ____

Astana «___»_____20___

This agreement on cooperation for the implementation of a project for the commercialization of the results of scientific and (or) scientific and technical activities (hereinafter referred to as the " **Agreement** ") is concluded between:

(iii) [indicate name], represented by [indicate position, full name], acting on the basis of [indicate document], hereinafter referred to as the " ***APPLICANT*** ",

(iv) [indicate name], represented by [indicate position, full name], acting on the basis of [indicate document], hereinafter referred to as the " ***GRANTEE*** ",

And

(v) [indicate name], represented by [indicate position, full name], acting on the basis of [indicate document], hereinafter referred to as the " ***CO-FINANCING ORGANIZATION*** " [1], and

(vi) [indicate the name], represented by [indicate the position, full name], acting on the basis of [indicate the document], hereinafter referred to as the "***COPYRIGHT HOLDER*** " [2],

(vii) hereinafter collectively referred to as the " ***PARTIES*** " have agreed as follows:

8 Subject of the agreement

8.1 In accordance with the Agreement, the Parties have agreed to jointly carry out activities to implement a project for the commercialization of the results of scientific and (or) scientific and technical activities on [indicate the name and brief description of the project] (hereinafter referred to as the " ***PROJECT*** ") under the terms established by the Agreement.

8.2 Each Party has read the details of the Project, the terms of the Competition Documentation, and the Competition application submitted by the Grantee within the framework of the competition for grant financing of projects for the commercialization of the results of scientific and (or) scientific and technical activities, and will make efforts and ensure its contribution, as defined by the Agreement, for the successful implementation of the Project, including the achievement of KPI [indicate the indicators established by the tender application], within the framework of which:

8.2.1 The co-financing organization undertakes to transfer co-financing funds in the amount of [indicate the amount] ([indicate the amount in words]) tenge to the Grantee's designated bank account, which constitutes [indicate the percentage]% ([indicate the percentage in words] percent) of the total grant funding for the Project. The co-financing funds specified in this clause shall be transferred in installments:

1) [specify the amount] [specify the amount in words] tenge until [specify the period];

[1]One or more co-financing organizations are included as parties to the agreement in the event of their participation in the financing of the Project.
[2]Included in the agreement if the rights to the intellectual property specified in the competition application are granted to the Grantee by a third party

2) [indicate the amount] [indicate the amount in words] tenge until [indicate the period] [3].

8.2.2 The co-financing organization undertakes to provide the Grantee, free of charge, for temporary use for the duration of the Project implementation, with the facilities and equipment for the implementation of the Project in accordance with the specifications in Appendix 1. However, the material and technical base transferred to the Grantee may not be used by anyone other than the Grantee for the purpose of implementing the Project;

8.2.3 The Applicant provides the Grantee with comprehensive organizational and consulting support, provides methodological support to the Grantee during the implementation of the Project, assists the Grantee in finding clients and investors, as well as in promoting the project's products to the market;

8.2.4 The Grantee confirms that on the date of signing the Agreement it is the copyright holder and has all rights to the intellectual property objects provided for in the specification in Appendix 2. [4]The Applicant/Copyright Holder [5]undertakes to transfer to the Grantee the rights to the intellectual property objects provided for in the specification in Appendix 2 by [*specify the deadline*].[6]

8.2.5 The Parties confirm that they have read the Competition Documentation and are aware of the liability for submitting to JSC Science Fund false information regarding their eligibility, qualifications, quality and other characteristics, compliance with copyright and related rights, as well as other restrictions provided for by the current legislation of the Republic of Kazakhstan. [7]

9 Profit distribution

9.1 Distribution of profits received from joint activities under the Agreement shall be made between the Parties after receipt of profit within 30 (thirty) calendar days in the following shares: [*indicate the shares of profit distribution*].

10 Dispute resolution

10.1 This Agreement shall be governed by and interpreted in accordance with the laws of the Republic of Kazakhstan.

10.2 Should any disputes or disagreements arise during the implementation of this Agreement, the Parties will take all reasonable measures to resolve them through negotiations. If negotiations fail to resolve the dispute, the dispute will be referred to the Specialized Interdistrict Economic Court of Astana.

10.3 For failure to fulfill or improper fulfillment of obligations under the Agreement, the Parties shall be liable in accordance with the legislation of the Republic of Kazakhstan.

11 Terms and conditions of termination of the Agreement

11.1 This Agreement shall enter into force on the date of its signing by the Parties.

11.2 This Agreement shall be valid for the entire duration of the Agreement on the provision of a grant for the commercialization of the results of scientific and/or scientific and technical activities.

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[3]The deadlines are set in accordance with the conditions established by the competitive application .
[4]This version of paragraph 1.2.4. is subject to inclusion in the Agreement if the intellectual property objects declared in the competitive application belong to the Grantee.
[5]Please indicate one of the two: Applicant or Copyright Holder
[6]This version of paragraph 1.2.4. is included in the Agreement if the intellectual property objects declared in the tender application belong to the Applicant or a third party - the Copyright Holder.
[7]In Article 1 and further in the agreement, in addition to the points specified, the parties may include in the agreement other provisions to regulate their joint activities.

12 Details and signatures of the parties

IN WITNESS OF THE FOREGOING, THE PARTIES, acting through their authorized representatives, have initiated the signing of this Agreement on their behalf on the Signing Date.

APPLICANT:	**GRANTEE:**
Name of the legal entity	Name of the legal entity
Legal address:	Legal address:
Location:	Location:
BIN	BIN
IIC/IBAN	IIC/IBAN
BIC/SWIFT	BIC/SWIFT
Job title	Job title
Signature: _____	Signature: _____
Full name	Full name

CO-FINANCING ORGANIZATION:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Appendix No. 6
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

*Appendix 1 to the Cooperation Agreement for the implementation of a project for the commercialization of the results of scientific and (or) scientific and technical activities No. ____ dated _____ 202_*

Facilities and equipment provided for the implementation of the project
(description of the object, technical and economic specifications and other information, including information on the composition of the property, technical condition, service life, estimated initial, residual and replacement value of the object, technology and technological equipment)

No.	Facility or equipment (with technical characteristics and other information)	Owner of the property (object)	Name of title documents	Location (address)
1	*Production premises*			
2	*Office space*			
3	*Production equipment*			
4	*Etc.*			
…				

Applicant:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Grantee:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Co-financing organization:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Appendix No. 6
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

*Appendix 2 to the Cooperation Agreement for the implementation of a project for the commercialization of the results of scientific and (or) scientific and technical activities No. ____ dated _____ 202_*

Intellectual property objects
(*indicate* the description of the object(s), technical and economic specifications and other information, including information on the composition of the property, data on the registration of rights, etc.)

Applicant:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Grantee:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Co-financing organization:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Appendix No. 7
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

GRANT AGREEMENT FOR THE COMMERCIALIZATION OF THE RESULTS OF SCIENTIFIC AND (OR) SCIENTIFIC AND TECHNICAL ACTIVITIES

Astana «___» _____ 202_

This Grant Agreement for the commercialization of the results of scientific and (or) scientific and technical activities was concluded on [indicate the date] between:

(viii) **Joint Stock Company "Science Fund"** , hereinafter referred to as the "**Fund**", represented by [indicate position, full name], acting on the basis of [indicate document,

(ix) [**indicate the name**]/[**indicate the full name**], hereinafter referred to as the " **Applicant** ", [represented by [indicate the position, full name], acting on the basis of [indicate the document],

(x) [indicate name], hereinafter referred to as **the "Grantee"** , represented by [indicate position, full name], acting on the basis of [indicate document],

hereinafter collectively referred to as the " **Parties** ", and individually as **a "Party"** , or as specified above.

TAKING INTO ACCOUNT:

I. Law of the Republic of Kazakhstan "On Science and Technology Policy" from July 1, 2024 No. 103-VIII ЗРК;

II. Rules for basic and program-targeted financing of scientific and (or) scientific-technical activities, grant financing of scientific and (or) scientific-technical activities and commercialization of the results of scientific and (or) scientific-technical activities, financing of scientific organizations carrying out fundamental scientific research, approved by the order of the Acting Minister of Science and Higher Education of the Republic of Kazakhstan dated November 6, 2023 No. 563;

III. Recognition of the Applicant as the winner (funding approved) of the Competition (as defined below) in accordance with the decision of the National Scientific Council dated No. __ in the direction of "Commercialization of the results of scientific and (or) scientific and technical activities";

The Parties have entered into this Agreement on the provision of a Grant for the commercialization of the results of scientific and (or) scientific and technical activities on the following terms and conditions.

13 Definitions and interpretations

13.1 In this Agreement, capitalized terms have the following meanings:

13.1.1 **"Summary Report"** means a report containing brief information on the work carried out under the Project during the reporting period agreed with the Fund;

13.1.2 **"Anti-corruption legislation"** means the legislation of the Republic of Kazakhstan in the field of combating corruption;

13.1.3 **"ATUAF"** means analysis of the targeted use of allocated funds;

13.1.4 **"First Tranche"** means each first tranche provided under one stage of the Project;

13.1.5 **"Second Tranche"** means every second tranche provided within the framework of one stage of the Project;

13.1.6 **“Third Tranche”** means every third tranche provided within the framework of the implementation of one stage of the Project.

13.1.7 **“Termination Date of the Agreement” is** the date on which the Agreement terminates in accordance with clauses [29.2., 13.2., 17.2.].

13.1.8 **“Project Completion Date”** means **the** date on which the decision is made by the National Scientific Council (hereinafter referred to as the NSC) on the completion of the Project;

13.1.9 **“Agreement” –** this Grant Agreement for the commercialization of the results of scientific and (or) scientific and technical activities;

13.1.10 **"Information Obligations"** - has the meaning given to it in paragraph 15.6.12;

13.1.11 **" Interim and final (final) reports " -** means a report submitted by the Grantee to the Joint-Stock Company “National Center for State Scientific and Technical Evaluation” on the results of the implementation of the entire Project in the form approved by Appendix 10 to the Rules for state registration of scientific, scientific and technical projects and programs, commercialization projects of the results of scientific and (or) scientific and technical activities from budgetary funds, as well as from subsoil user funds within the framework of subsoil user obligations in the field of science, and reports on their implementation, approved by Order No. 630 of the Minister of Science and Higher Education of the Republic of Kazakhstan dated December 31, 2024;

13.1.12 **“Competition”** means the competition for the provision of a Grant for the commercialization of the R&D results, announced by the Fund in accordance with the announcement of the competition dated [indicate the date] on the website [indicate the website];

13.1.13 **“Competition Documentation”** means the documentation approved by the decision of the Fund dated [indicate date], and posted on the Fund's website at [indicate link].

13.1.14 **“Competitive Application”** means a package of documents submitted by the Applicant for participation in the Competition.

13.1.15 **"VAT"** means value added tax;

13.1.16 **“Unutilized Grant Amount” -** any portion of the tranche transferred by the Fund that is not used in the corresponding stage of the Project implementation;

13.1.17 **"NCSTE"** means JSC "National Center for State Scientific and Technical Evaluation";

13.1.18 **“Monitoring of the National Center of Scientific and Technical Evaluation”** means the implementation of the National Center of Scientific and Technical Evaluation (as the term is defined below) monitoring of the implementation of the Project for the Commercialization of the Results of Scientific and (or) Scientific and Technical Activities at the stages of their implementation and completion and sending its results to the national scientific councils;

13.1.19 **“Project Implementation Report”** – a report on the implementation of the Project, prepared in accordance with the form given in Appendix No. 4;

13.1.20 **"Reporting"** means collectively Interim Report, Final Report, and Project Implementation Report, and each of these reports separately;

13.1.21 **“Post-grant monitoring period”** means the period from the date of completion of the Project implementation calendar until the expiration of three years from the date of the National Scientific Council’s decision to complete the Project;

13.1.22 **“Post-grant monitoring”** means an assessment of the effectiveness of the Project based on reports submitted by the Grantee to the Fund in the form in Appendix No. 4;

13.1.23 **“Project”** means a project for the commercialization of the R&D project, the name, objectives and other parameters of which are defined in Appendix No. 1;

13.1.24 **An interim report is** a document prepared during the implementation of a project, or a financial period prior to its completion, to reflect current results, progress of work, use of grant funds, and achievement of planned indicators.

13.1.25 **“Authorized Accounts”** means the Co-financing Account and Escrow Account, the details of which are specified in Appendix No. 1;

13.1.26 **"Settlement period"** means every four consecutive months within each calendar year implementation of the Project;
13.1.27 **"R&D Results"** means the results of scientific and (or) scientific and technical activities;
13.1.28 **"Expense estimate"** means an estimate of expenses permitted and necessary for the implementation of the Project, agreed upon by the parties in Appendix No. 3;
13.1.29 **"Co-financing account"** means the Grantee's current account intended for crediting Co-financing amounts, the details of which are specified in Appendix No. 1;
13.1.30 **"Co-financing"** means the obligations of the Grantee to provide financing for the Project under the terms and conditions stipulated by the Competition Documentation and the Agreement;
13.1.31 **"Substantial Litigation"** means any of the following:

1) any legal proceedings or several related proceedings related to the implementation of the Project, the liability for which exceeds 1% (one percent) of the Grant amount;

2) any administrative, civil, criminal proceedings (investigation or trial at any stage) initiated against the founders and/or ultimate beneficiaries and/or employees of the Applicant (the Winner of the Competition), the Grantee and/or other persons involved by the Grantee, which, in the opinion of the Fund, may significantly complicate the implementation of the Project.

13.1.32 **"Authorized body" / "Administrator of budget programs"** - State Institution "Science Committee of the Ministry of Science and Higher Education of the Republic of Kazakhstan";
13.1.33 **"Escrow account"** means a current account opened in a second-tier bank of the Republic of Kazakhstan in the name of the Grantee with a restriction on the Grantee's right to carry out expenditure transactions on this account until the Grantee fulfills the conditions agreed upon by the Fund;
13.1.34 **"Project Stage"** is a part of the project that has specific start and end dates, during which a set volume of work is carried out aimed at achieving specific intermediate results stipulated by the project implementation schedule, or a period determined by the schedule plan (Appendix No. 2).

13.2 Other specific terms and abbreviations used in the text of this Agreement are used in accordance with the meaning set forth in the above-mentioned regulatory legal acts of the Republic of Kazakhstan, the Competition Documentation, and the internal regulatory documents of the Fund.

13.3 In the Agreement, unless the context expressly provides otherwise:

13.3.1 words used in the singular may, depending on the context, imply a plural meaning and vice versa;

13.3.2 a reference to any particular Article, clause or Appendix means a reference to the relevant Article, clause or Appendix of this Agreement;

13.3.3 any reference to an agreement includes any addition, amendment, assignment of rights (claims), transfer of debt, new version or re-entry into force of such an agreement and shall be interpreted as a reference to this agreement taking into account all such amendments made to it from time to time;

13.3.4 the headings and table of contents are provided solely for convenience of reference and shall not affect the interpretation of this Agreement;

13.3.5 any reference to a document in "agreed form" means that the form of such document has been agreed upon by the parties to this Agreement and there is evidence of such agreement between the Parties;

13.3.6 any reference to a provision of a law or other regulatory legal act is a reference to such provision taking into account the amendments and additions made to it;

13.3.7 any reference to a "person" includes any person (whether natural or legal), firm, company, joint-stock company, government, state or governmental agency, and any association, union or business partnership (whether incorporated or unincorporated), and references to any "person" include the successors and permitted assigns of such person;

13.3.8 the words "including" and "including" are deemed to be supplemented by an expression without "limitation" even if that expression does not follow them.

14 Subject of the Agreement

14.1 The Fund provides the Grantee with a Grant free of charge for the commercialization of the R&D project for the implementation of the Project in the amount specified in Appendix No. 1, under the terms specified in the Agreement.

14.2 The grant is targeted and is provided to the Grantee solely for the purposes specified in Appendix No. 1.

14.3 The Project implementation period is determined in accordance with the period specified in Appendix No. 1.

15 Rights and obligations of the Parties

15.1 **THE FUND HAS THE RIGHT TO:**

15.1.1 request from the Applicant and/or the Grantee any documents and information relating to the Project at any stage of its implementation;

15.1.2 require the Grantee to provide the reports stipulated by the Agreement, provide comments on the reports and require the Grantee to correct them;

15.1.3 use information and documentation received from the Grantee or Applicant for purposes and in a manner that does not contradict the law and the provisions of the Agreement;

15.1.4 conduct ATUAF in relation to the implementation of activities and the compliance of the Grantee's declared expenses with the objectives of the Project;

15.1.5 based on the results of the ATUAF, initiate amendments and/or additions to the Agreement, including in terms of redistribution of Grant amounts and other amendments and/or additions;

15.1.6 in the event that during the ATUAF a failure to perform or improper performance of obligations under the Agreement is detected, demand that the violations be corrected;

15.1.7 Suspend Project funding if the Grantee becomes involved in a Material Litigation Dispute. In this case, the next Grant tranche may be disbursed only after the full execution/payment of the relevant judgment issued as part of the Material Litigation Dispute.

15.1.8 demand from the Grantee, within the specified period, the return of all previously transferred grant funds under this Agreement in the event of the Grantee's failure to fulfill its obligations and failure to comply with the terms of the Agreement;

15.1.9 In the event that the Grantee has legal, civil, criminal, administrative or other property disputes related to the implementation of the Project, the Fund has the right to suspend funding for the project until the Grantee has fully resolved the dispute (settlement of relations) in accordance with the legislation of the Republic of Kazakhstan;

15.1.10 In case of withdrawal of the electronic invoice by the Grantee on its own initiative, the Fund shall have the right to: 1) in the case of the first violation, issue an invoice for payment of a penalty from the Grantee's own funds in the amount of 1.25 times the base rate of the National Bank of the Republic of Kazakhstan in accordance with paragraph 2 of Article 117 of the Code of the Republic of Kazakhstan "On Taxes and Other Mandatory Payments to the Budget (Tax Code)" for each day of delay in fulfilling the tax obligation to pay taxes and payments to the budget, starting from the day following the deadline for filing the Fund's tax return for the relevant tax period in which the VAT amount on the received electronic invoice was taken into account until the moment of re-submission of this electronic invoice to the Fund, and also issue an invoice for payment of a penalty in the amount of 0.1% of the amount of the withdrawn electronic invoice; 2) in case of repeated or more instances, terminate this Agreement by unilaterally refusing to fulfill this Agreement due to improper fulfillment by the Grantee of its obligations to calculate and pay VAT;

15.1.11 terminate this Agreement on the grounds and in the manner provided for by the Agreement.

15.2 **THE FUND UNDERTAKES TO:**

15.2.1 transfer to the Grantee the monetary amounts of the Grant provided for the relevant Billing Periods in accordance with Appendix No. 1, in the manner prescribed by the Agreement, in accordance with the financing plan of the administrator of the budget program of the State Institution "Science Committee of the Ministry of Science and Higher Education of the Republic of Kazakhstan".

15.3 **THE APPLICANT HAS THE RIGHT TO:**

15.3.1 receive from the Grantee and the Fund any information and documentation related to the implementation of the Project.

15.4 **THE APPLICANT IS OBLIGED TO:**

15.4.1 transfer to the Grantee the rights to the intellectual property objects declared in the Competitive Application by concluding with the Grantee an agreement(s) for the alienation of intellectual property rights or by temporary transfer of rights to intellectual property objects, within three months from the date of announcement of the results of the Competition [8].

15.4.2 to exercise control over the implementation of the Project by the Grantee, the timely submission of Reports and the use of the Grant in accordance with the Cost Estimate and the Work Schedule provided for in the Agreement.

15.4.3 ensure the transfer of Co-financing amounts to the Co-financing Account in the amount and within the timeframes stipulated by the Agreement [9].

15.4.4 not to terminate the Cooperation Agreement before the expiration of this Agreement.

15.5 **THE GRANTEE HAS THE RIGHT TO** :

15.5.1 receive the Grant in accordance with the procedure and terms of this Agreement;

15.5.2 manage products, results of implemented/developed technology, profits and other income received as a result of commercialization of the R&D project;

15.5.3 if necessary, redistribute no more than 10% (ten percent) of the total amount of the Cost Estimate between the cost items approved by the Agreement within one stage, with mandatory prior written notification to the Fund, subject to the following cost limits throughout the entire period of the Project implementation:

1) for the salary fund of the members of the project team involved in the implementation of the Project - no more than 30% of the Grant amount, including taxes and other mandatory payments to the budget;

2) for the lease of production sites, non-residential premises and equipment necessary for the implementation of the Project - no more than 20% of the Grant amount; ~~and~~

3) for the costs of promoting a product or service on the market - at least 5% of the Grant amount.

15.6 **THE GRANTEE IS OBLIGED TO** :

15.6.1 Ensure the achievement of the Project's key performance indicators set out in Appendix No. 1;

15.6.2 provide the following information **("INFORMATION OBLIGATIONS"**):

1) provide the Fund with an Annotation Report for the first six months of the current stage of the Project and for the period starting from the first day of the seventh month of the current stage of the Project until the date preceding the date of preparation of the Annotation Report;

2) inform the Fund about the risk and/or the fact of the occurrence of any circumstances that may cause a delay, obstacle or difficulty in the execution of the Agreement, within two working days from the day when the Grantee became aware of this;

8This subparagraph does not apply if the Project is implemented by the Applicant-Grantee alone.

9This clause shall apply if the Competitive Application submitted by the Grantee provides for co-financing from the Applicant's funds.

3) copies of public statements and publications of the Grantee and/or Applicant related to the Project to the Fund within three days from the date of the relevant statement or publication;

4) to the Fund Reporting in the volume, manner and timeframes stipulated by Article 6;

5) to the Fund the Grantee's current financial statements for the latest available period within 10 (ten) business days after the completion of each stage of the Project.

6) to the Fund the current financial statements of the Grantee, financial and other documents related to the implementation of the Project, including information on the current implementation of the activities provided for in Appendices No. 1, No. 2 and No. 3 within five Business Days from the date of sending the corresponding request from the Fund;

7) on the actual and/or potential involvement of the Grantee in a Material Legal Dispute within 5 (five) Business Days from the date when the Grantee became aware of this;

8) to the NCSTE, at its request, all and any documents relating to the implementation of the Project, requested by the NCSTE for the purposes of monitoring;

9) to the Fund about planned meetings, press conferences and other events held on the topic of the Project no later than two days from the date when the Grantee became aware of such an event;

15.6.3 to properly administer, organize and manage the implementation of the Project ("**ADMINISTRATIVE AND ORGANIZATIONAL RESPONSIBILITIES** "), including:

1) carry out all activities in the volume and within the timeframes provided for in Appendices No. 1, No. 2 and No. 3;

2) comply with the procedure for implementing the Project established by the Agreement;

3) to address the comments submitted by the Fund to any Report within the timeframes specified in the relevant notification of the Fund;

4) when implementing the Project, ensure the acquisition of new, unused goods, and, if possible, with a warranty period, with appropriate certification (if required by law), safety, and also provide protection against risks arising from the acquisition, transportation, delivery of equipment to the place of use and (or) installation (if necessary, insurance);

5) ensure appropriate maintenance and storage of equipment, machinery and other goods purchased using Grant funds in warehouses and premises that exclude any damage and comply with the maintenance and storage conditions specified in the instructions or product data sheet;

6) provide the Fund with access to the documents, the location of the Project, and any resources of the Grantee involved in the Project for the purpose of conducting the ATUAF, from the date of the Fund's notification of the ATUAF or within another period specified in the Fund's notification;

7) in the event that during the ATUAF a failure to perform or improper performance of obligations under the Agreement is identified, at the request of the Fund, eliminate the identified violation within 10 (ten) Business Days or within another period specified in the Fund's request;

8) familiarize yourself with the regulatory documents posted on the official websites of the Fund and the National Center for State Technical Expertise (rules, guidelines, procedures, etc.) governing the monitoring procedures, ATUAF and project implementation and comply with them;

9) store this Agreement, documentation and all Reports related to this Agreement and the Project for at least 5 (five) years from the Date of termination of all obligations under the Agreement;

10) ensure the continuation of the Project implementation for three years after the Project Completion Date (post-grant period);

11) ensure unimpeded access of representatives of the National Center for State Technical Evaluation to the territory of the Grantee and (or) the location of the Project implementation for the purpose of conducting Monitoring of the National Center for State Technical Expertise;

12) not allow any encumbrance of the Grant funds and/or property received within the framework of the Project implementation without the prior written consent of the Fund;

13) notify the Fund in writing of any change in its name, location, first director (or authorized person), or its details (telephone, email, bank details, etc.) no later than three days from the date of such changes.

14) not to sublease the property leased for the implementation of the Project to third parties;

15.6.4 comply with the requirements for labeling and mentioning the support of the Fund (the "**LABELING OBLIGATIONS** "), including:

1) place the Fund's logo on all equipment purchased using Grant funds and on all results of the Project's implementation;

2) include the following sentence in all public statements and publications related to the Project (including written, audio and video materials, electronic publications, etc.): "The Project is being implemented using funds from a grant for the commercialization of the results of scienctific and (or) scientific and technical activity, provided by the State Institution "Science Committee of the Ministry of Science and Higher Education of the Republic of Kazakhstan";

3) include the following sentences on the packaging (labels) of the final product obtained within the framework of the Project: "Product of Kazakhstani science" and "Financed by JSC Science Fund";

15.6.5 ensure the fulfillment of the following requirements and obligations regarding the accounting, expenditure and return of Grant funds (" **FINANCIAL OBLIGATIONS** "):

1) register as a VAT payer and remain a VAT payer for the entire term of the Agreement;

2) maintain separate records of money and property received in accordance with and within the framework of the execution of the Agreement from other property of the Grantee;

3) transfer Co-financing amounts to the Co-financing Account within the timeframes and in the amounts specified in Appendix No. 1;

4) spend the Grant and Co-financing amounts exclusively in non-cash form from accounts and only in accordance with the Expense Estimate;

5) ensure compliance with the expenditure limits specified in subparagraph 3.5.3 throughout the entire period of the Project implementation;

6) ensure that by the Project Completion Date the Grantee's total income from the implementation of the Project is no less than [indicate the percentage]% ([indicate the percentage in words] percent) of the total amount of the Grant;

7) transfer 50% of the total amount of Co-financing to the Co-financing Account before transferring the first tranche of the Grant, with the provision of a certificate from the bank servicing the Co-financing Account confirming the receipt of the specified amounts of Co-financing;

8) issue correct electronic invoices for each act of work performed/services rendered, signed between the Fund and the Grantee no later than 10 days before the completion of the relevant stage of the Project;

9) return to the Fund the Undisbursed Amount of the Grant (if any) within 10 (ten) Business Days after the date of completion of each stage of the Project;

10) In the event of an Unspent Grant Amount, issue to the Fund an additional electronic invoice with a minus sign (-) for the amount of the Unspent Grant Amount, in relation to each stage of the Project.

11) return to the Fund the Grant funds spent not in accordance with this Agreement within 10 (ten) days from the date of sending the relevant request by the Fund.

12) not to use the Grant and/or Co-financing and the property acquired using the Grant and Co-financing for purposes not related to the implementation of the Project;

13) upon written request of the Fund, within the specified period, return to the Fund previously received grant funds in full, in the event of failure to fulfill obligations and (or) violation of the terms of the Agreement, as well as in the event of unilateral termination of the Agreement by the Fund in accordance with paragraph ___ of this Agreement .

16 Procedure for the implementation of the Project

16.1 The project is being implemented on the territory of the Republic of Kazakhstan.

16.2 The procurement of goods, works and services within the framework of the Project is carried out by requesting at least three alternative commercial proposals, while the suppliers who submitted these proposals must not be affiliated with each other in accordance with the legislation of the Republic of Kazakhstan, and must not be included in the register of unscrupulous suppliers.

16.3 Project team:

16.3.1 The project team must consist of at least three and no more than five people. The Project Manager must be a citizen of the Republic of Kazakhstan.

16.3.2 Replacement of members of the project team, with the exception of the manager, at any stage of the Project implementation is permitted with the written consent of the Fund.

16.4 Project Manager:

16.4.1 The Project Manager works on the basis of an employment contract concluded with the Grantee for the entire duration of the Project implementation with established full-time working hours.

16.5 The project is considered completed on the basis of the relevant decision of the NSC.

17 Grant Provision Procedure

17.1 The grant is provided in tranches:

17.1.1 The first tranche in the amount requested by the Grantee, but not more than 50% of the Grant amount specified in Appendix No. 1 for the implementation of Stage 1 of the Project, shall be transferred within 10 (ten) Business Days from the date of signing the Agreement, but not earlier than the fulfillment of all the following conditions:

1) The Fund has received a certificate issued by a second-tier bank about an open and active Escrow account (used only for transferring the first tranche in the first year of the Project implementation);

2) The Fund received a certificate issued by a second-tier bank no earlier than two days before the date of its submission to the Fund, confirming the presence in the Co-financing Account of at least 50 (fifty) percent of the amount of Co-financing of the first stage, specified in Appendix No. 1;

3) The authorized body transferred sufficient amounts to finance the Project to the Fund.

4) The Grantee has provided the Fund with financial documents confirming the proper implementation of the Grant, in the amount requested by the Fund (except for the provision of the First Tranche in the first stage of the Project); and

5) The decision of the National Council on financing the Project was received.

17.1.2 The second tranche in the amount requested by the Grantee, but not more than 40% (forty percent) of the Grant amount established in Appendix No. 1 for the implementation of the corresponding stage of the Project, shall be transferred within 10 (ten) days from the date of signing by the Fund of the interim act of work performed/services rendered for the past period of the corresponding stage of the Project, upon fulfillment of each of the following conditions:

1) The Fund has received a certificate issued by a second-tier bank no earlier than two days before the date of its submission to the Fund, confirming the presence in the Co-financing Account of at least 50 (fifty) percent of the Co-financing amount of the relevant stage specified in Appendix No. 1 (in addition to the Co-financing amount specified in subparagraph 2) of paragraph 5.1.1.);

2) The Grantee has issued a correct electronic invoice to the Fund in respect of the completed part of the Project stage;

3) The Grantee has submitted to the Fund an Annotation Report for the first six months of the current stage of the Project;

4) The Grantee has provided the Fund with financial documents confirming the proper utilization of the Grant, in the amount requested by the Fund; and

5) The authorized body transferred sufficient amounts to finance the commercialization projects of the Results of scientific and (or) scientific and technical activity to the Fund.

17.1.3 The third tranche in the amount requested by the Grantee, but not more than 10% (ten percent) of the Grant amount established in Appendix No. 1 for the corresponding stage of the Project, shall be transferred within 10 (ten) days from the date of signing by the Fund of the certificate of work performed/services rendered for the entire period of the corresponding stage of the Project, upon fulfillment of each of the following conditions:

1) The Grantee has submitted the Report to the Fund;

2) The Grantee has submitted to the Fund an Annotation Report for the period starting from the first day of the seventh month of the current stage of the Project until the date preceding the date of preparation of the Annotation Report;

3) The Grantee has provided the Fund with financial documents confirming the proper utilization of the Grant, in the amount requested by the Fund; and

4) The authorized body transferred sufficient amounts to finance the commercialization projects of the Russian Scientific and Technical Complex to the Fund.

For the purposes of this article, the certificate of completion of work/services rendered, including the interim certificate, is signed by the Fund upon the fulfillment by the Grantee of the obligations (when the term of such obligations comes) related to the implementation of the Project, as set out in Section A of Appendix No. 1 (minimum indicators).

17.2 All bank fees and other expenses associated with opening and servicing an Escrow account are paid by the Grantee.

17.3 If, on the date of completion of any stage of the Project, there remains an Undisbursed Amount of the Grant in the Escrow Account, such Undisbursed Amount shall be returned to the Fund within 10 (ten) Business Days from the date of completion of the stage of the Project within which the Undisbursed Amount of the Grant was formed.

17.4 If there is an Undisbursed Grant Amount in the Escrow Account on the Project Completion Date, such Undisbursed Grant Amount shall be returned to the Fund within 10 (ten) Business Days from the Project Completion Date, which must be provided for in the terms of the agreement on opening the Escrow Account.

17.5 The Grant funds shall be used solely to cover the expenses provided for in the calendar plan (Appendix No. 2) and the Expense Estimate (Appendix No. 3), which are an integral part of this Agreement.

17.6 The Fund reserves the right to make demands for the return of Grant funds spent inconsistently with the terms of this Agreement.

17.7 Following the review of the interim and (or) final reports, on the basis of the interim and (or) final ATUAF, the Fund may make demands on the Grantee for the return of unspent and (or) spent funds of the Grant not in accordance with this Agreement, for the entire period of the Project implementation, regardless of the reports and certificates of work performed/services rendered accepted as satisfactory.

a. ~~In the event of monetary savings in the previous stage, the funding amount for the subsequent stage will be reduced by the corresponding monetary amount of savings in the previous stage~~. In the event of monetary savings in the final stage, the monetary amount of savings is subject to return to the Fund within 10 (ten) business days from the date the Fund sends the corresponding notification to the Grantee.

17.8 Facts of misuse of funds and encumbrance of property may be established on the basis of the ATUAF Project and are the basis for the reclamation under this Agreement of all previously transferred funds of the Grant and the demand for payment of a fine (penalty).

18 Procedure and form of reporting

18.1 The Grantee is obligated to submit to the Fund an Interim Report within 10 (ten) Business Days from the completion date of each stage according to the Schedule and a Final Report within 10 (ten) Business Days after receiving the notification letter regarding the final conclusion of the Interim ACIS. Reports are submitted in the format set forth in Appendix No. 4 to this Agreement, in both hard copy and electronic format, with all documents confirming the completion of the work attached.

18.2 The procedure for submission, design and requirements for the content, as well as the timeframes for reviewing the Reports are regulated by the Fund's internal regulatory documents.

18.3 If the submitted Report is deemed unsatisfactory by the Fund, notification thereof must be sent to the Grantee no later than 10 (ten) calendar days from the date of receipt of the Interim Report and no later than 15 (fifteen) calendar days from the date of receipt of the Final Report.

18.4 The Grantee, within 10 (ten) Business days from the date of receipt of the notification, shall correct the submitted comments and send the corrected Report and/or the requested documents to the Fund.

18.5 After reviewing the interim and final reports, the Fund sends the ATUAF Report to the Grantee for review and signature. If the Grantee disagrees with the Report, they are required to prepare written objections and sign the ATUAF Report within three days. The Grantee's refusal to sign the Act does not affect the results of the ATUAF, and the Grantee is obliged to correct the comments specified in the act within the timeframes established by the Fund.

18.6 The Grantee undertakes to submit to the Fund a Report on the implementation of the Project within the following timeframes:

18.6.1 within five days from the Date of the Agreement with the completed column "Indicators at the beginning of the Project implementation" and completed planned indicators for each year of the Project implementation and three years after its completion.

18.6.2 no later than 10 (ten) days from the date of expiration of each calendar quarter throughout the entire period of the Project implementation until the Project Completion Date;

18.6.3 no later than January 10 and July 10 of each calendar year in comparison with a previously submitted similar report for 3 (three) years from the Project Completion Date.

18.7 The Fund carries out the ATUAF implementation of the Project in accordance with the relevant internal regulatory documents of the Fund.

19 Confidentiality

19.1 The Parties agree to consider the following information confidential: correspondence between the Parties regarding this Agreement, invoices, acts, and any other documentation related to this Agreement and the Project that is not intended and/or is not publicly available to third parties. Each Party undertakes to ensure the protection of confidential information and not to transfer it to third parties without the prior written consent of the other Party, with the exception of providing information to the sole shareholder of the Fund, the Authorized Body in the Field of Science, the Ministry of Science and Higher Education of the Republic of Kazakhstan, and other government agencies (including the Supreme Audit Chamber of the Republic of Kazakhstan and its structural divisions, the State Audit Office, law enforcement, special, and judicial bodies), the disclosure of information on the Project to which is required by the requirements of current legislation or the provisions of contracts for the performance of a state assignment and public procurement. The obligations established by this article regarding confidentiality shall remain in force for the entire term of the Agreement and for three years after the Termination Date of the Agreement.

19.2 The Fund has the right to reproduce or otherwise use the Grantee's publications relating to the Project without payment of remuneration.

19.3 The Fund has the right, without the consent of the Grantee, to publish and issue other information materials on the progress of the Project implementation based on reports and information submitted by the Grantee.

20 Responsibility of the Parties

20.1 The Applicant shall be jointly and severally liable to the Fund for the obligations and assurances of the Grantee provided for in the Agreement, and in the event of a breach of the Agreement by the Grantee, the Fund shall have the right at any time to demand that the Applicant fulfill the breached obligation of the Grantee;

20.2 In the event of a violation by the Grantee of the obligations regarding the intended use of the Grant funds, the Grantee is obliged, at the request of the Fund, to ensure the return of all Grant funds received, within the timeframes specified in the relevant request of the Fund, with the payment of a penalty in the form of a fine in the amount of 10% (ten percent) of the transferred Grant amount;

20.3 If employees or other persons engaged by the Grantee, its founders, or ultimate beneficiaries are found to have committed illegal actions directly related to the implementation of the Project, including theft or misuse of Grant funds, the provision of knowingly false or counterfeit documents and information, or misleading the Fund in order to obtain or retain the Grant, the Fund will initiate the termination of the Project and the collection of all transferred Grant funds, as well as a penalty for the illegal use of the received Grant, calculated at the average annual base rate of the National Bank for each stage of the Project until all amounts due are credited to the Fund's account. Violations unrelated to the implementation of the Project and not having a significant impact on the achievement of the Project's goals and results, including general administrative offenses, shall not constitute grounds for the application of this measure.

20.4 In the event of a violation by the Grantee of the deadlines established by the Agreement for the submission of the Interim Report or the Final Report, except in cases of a deferment agreed upon with the Fund, the Fund shall have the right to collect, and the Grantee, at the request of the Fund, undertakes to pay a penalty for each day of delay in the amount of 0.1% (zero point one percent) of the Grant amount attributable to the stage in which the delay in the submission of the Interim Report occurred, or of the total amount of the Grant in the event of a violation of the deadline for the submission of the Final Report, but in any case no more than 10% (ten percent) of the Grant amount.

20.5 In the event of a violation by the Grantee of the deadline for submitting the Project Implementation Report, the Fund shall have the right to collect, and the Grantee, at the request of the Fund, shall be obligated to pay, a penalty in the amount of 0.1% (zero point one percent) of the Grant amount attributable to the stage in which the delay occurred, for each day of delay, but not more than 10% (ten percent) of the total Grant amount.

20.6 If the Grantee independently discovers a violation of the Agreement committed by him or the Applicant, reports such discovery to the Fund and ensures that the violation is corrected by providing supporting documents to the Fund within one month from the date of discovery, the Grantee and the Applicant will not be subject to the liability measures specified in the Agreement for committing such a violation.

20.7 In the event that the Grant is used for purposes not specified in Appendix No. 1, the Grantee undertakes to return to the Fund the entire amount of the Grant received within 5 (five) days from the date of the Fund’s request, and also to pay a penalty in the form of a fine in the amount of 5% (five percent) of the amount of the Grant received.

20.8 In the event of failure by the Grantee to comply with the deadlines specified in paragraph 3.6.2, subparagraphs 3), 6), 7) of paragraph 3.6.3 of this Agreement, the Fund shall have the right to collect, and the Grantee, at the request of the Fund, undertakes to pay a penalty in the amount of 0.1% of the total monetary amount of the grant for each day of delay, but not more than 10% of the total monetary amount of the grant.

20.9 The Fund shall not be liable for any claims made by anyone against the Applicant and/or the Grantee on any issues related to the implementation of the Project, including for damage caused intentionally or unintentionally (through negligence) to anyone as a result of the use of Grant funds.

20.10 In the event that the Grantee violates the deadline for issuing an electronic invoice to the Fund, as specified in subparagraph 8) of paragraph 3.6.5., including in connection with the withdrawal

and re-issuance of an electronic invoice, and this entails any expenses for the Fund (direct or indirect), the Fund has the right to demand reimbursement of such expenses from the Grantee, and the Grantee, upon request of the Fund, undertakes to pay the Fund the confirmed amount of such expenses.

21 Anti-corruption conditions

21.1 In fulfilling their obligations under the Agreement, the Parties confirm that the Fund, the Grantee and the Applicant have not committed, or induced to commit actions that violate or contribute to the violation of the Anti-Corruption Legislation, have not paid, have not offered to pay or have not authorized the payment of any monetary funds or valuables, directly or indirectly, to any persons, in order to influence the actions or decisions of these persons in order to obtain any unlawful advantages or achieve other unlawful goals.

21.2 In fulfilling their obligations under the Agreement, the Parties shall not carry out actions classified by the legislation applicable for the purposes of the Agreement as giving/receiving a bribe, commercial bribery, as well as actions that violate the requirements of the Anti-Corruption Legislation and the provisions of the current code of ethics of the Fund.

21.3 The Grantee and the Applicant confirm that they have read the Fund's Code of Ethics, which is located on the Fund's corporate website.

21.4 The Fund has the right to request from the Grantee and the Applicant information confirming compliance with paragraphs 9.1 and 9.2.

21.5 If the Fund has reasonable doubts that a violation of any provisions of the Agreement has occurred or may occur, the Fund has the right to conduct an audit of the activities of the Grantee in order to ensure compliance with the requirements of the Anti-Corruption Legislation and the provisions of the Fund's current code of ethics.

21.6 The Grantee and/or Applicant may report any violations of the Anti-Corruption Legislation anonymously to the e-mail address of the Fund's compliance officer: fn-antikor@science-fund.kz .

22 Environmental protection requirements

22.1 In order to ensure safety and environmental protection, the Grantee undertakes to:

22.1.1 be guided by and guarantee compliance with all current environmental, sanitary-hygienic, and other special requirements (norms, rules, regulations) of the legislation of the Republic of Kazakhstan in the field of environmental protection, land, water, forestry legislation of the Republic of Kazakhstan, legislation of the Republic of Kazakhstan on subsoil and subsoil use, in the field of protection, reproduction and use of wildlife and other legislation of the Republic of Kazakhstan in the field of protection and use of natural resources to ensure sanitary and epidemiological well-being;

22.1.2 ensure energy, water, heat conservation and rational use of energy resources at the stages of transmission, distribution and consumption of electrical energy, water resources and thermal energy;

22.1.3 ensure resource conservation, increased efficiency in the use of non-renewable natural resources and other energy sources;

22.1.4 ensure a high level of environmental protection aimed at preventing environmental pollution (environmental pollution is understood to mean the presence in the atmospheric air, surface and groundwater, soil or on the earth's surface of pollutants, heat, noise, vibrations, electromagnetic fields, radiation in quantities (concentrations, levels) exceeding the environmental standards for environmental quality established by the state), preventing environmental damage in any form and ensuring the elimination of the consequences of environmental damage caused;

22.1.5 to strengthen the rule of law in the area of environmental protection and ensuring environmental safety (environmental safety as an integral part of national security is understood as the state of protection of the rights and vital interests of individuals, society and the state from threats arising as a result of anthropogenic and natural impacts on the environment);

22.1.6 minimize the negative impact of the results of its activities on the environment, take all possible measures to preserve the climate and biodiversity of the Republic of Kazakhstan;

22.1.7 to promote the involvement of the Project team in activities to reduce environmental risks, improve the environmental management system and production performance in the field of environmental protection;

22.1.8 implement the environmental policy of the Republic of Kazakhstan by securing the relevant conditions in contracts for payment of services of third parties involved in the implementation of the Project;

22.1.9 interact with the public, government agencies, civil society structures, and other individuals interested in its environmentally safe activities.

23 Guarantees and representations

23.1 The Applicant and the Grantee hereby confirm, are vested with the appropriate powers on behalf of the founders (beneficiary owners), and represent and warrant that on the date of the conclusion of the Agreement, as well as on the date of provision of each tranche in accordance with the Agreement:

23.1.1 The Grantee is duly established and carries out its activities in accordance with applicable law and its constituent documents;

23.1.2 The conclusion and execution of the Agreement by each of them, as well as any provision of the Agreement for them, will not entail a violation of the accepted obligation, the requirements of the law, a court decision, an order or the provisions of their constituent or other documents regulating their activities.

23.1.3 There are no Material Disputes pending or outstanding with respect to any of them, their employees and ultimate beneficiaries (if applicable).

23.1.4 All documents regarding each of them and the Project, submitted for participation in the Competition, are reliable, valid, and contain current and complete information.

23.1.5 Each of them is familiar with the regulatory legal acts and internal regulatory documents of the Fund, regulating the procedure for financing and ATUAF for the commercialization of R&D RESULTS, posted on the official website of the Fund science-fund.kz .

24 Terms and procedure for termination of the Agreement

24.1 The Fund has the right to unilaterally refuse to execute this Agreement in any of the following cases:

24.1.1 detection of the fact of falsification (forgery, counterfeiting) of documents, provision of false information, failure to implement planned activities under the Agreement;

24.1.2 violation by the Grantee of the provisions of Article 9 of the Agreement;

24.1.3 The Grantee has failed to submit any of the reports required to be submitted to the Fund in accordance with the Agreement within the specified time period, and such violation has not been corrected within 10 (ten) Business Days from the date of notification by the Fund;

24.1.4 the minimum required indicator for Co-financing, established in Appendix 1, has not been fulfilled or has not been properly fulfilled and such violation has not been corrected by the Grantee within 10 (ten) Business Days from the date of notification by the Fund;

24.1.5 Based on the results of the ATUAF, it was established that the items of the Calendar Plan and the Expense Estimate were not fulfilled, the savings were not returned and these violations were not corrected within 10 (ten) Business Days from the date of sending the notification or familiarization with the Fund’s audit report, and also the Grant funds were used for purposes other than those specified in Appendix No. 1;

24.1.6 the composition of the Project Group was changed without the appropriate approval of the Fund;

24.1.7 in relation to the Grantee, grounds have been initiated or there are grounds for initiating (i) proceedings to declare the Grantee bankrupt, (ii) rehabilitation procedures, (iii) liquidation of the Grantee, (iv) seizure of property acquired using the Grant or other significant property of the Grantee;

24.1.8 the rights to the R&D RESULTS and (or) intellectual property objects specified in the Competitive Application have not been transferred to the Grantee within the timeframes stipulated by the Agreement or the Grantee has lost the rights to them;

24.1.9 the fact of double financing of the Project was identified and confirmed by the decision of the NSC;

24.1.10 The National Council or the court of the Republic of Kazakhstan has made a decision to terminate the financing of the Project or to terminate the Agreement;

24.1.11 lack of sufficient funds to finance the Project, due to the termination/reduction of the amount of money for financing the Project by the authorized body in the field of science, or the adoption of acts, decisions, orders by the executive body of the Fund based on the decision of the National Scientific Council in the relevant area to suspend or terminate such financing in general or for the project;

24.1.12 in other cases provided for by the legislation of the Republic of Kazakhstan.

24.2 In the event of a unilateral termination of the Agreement by the Fund, as specified in paragraph 12.1, the Agreement shall be deemed terminated on the date specified in the Fund's notice of unilateral termination.

24.3 This Agreement may be terminated by a court decision and/or by the NNS or in the event of the occurrence of circumstances stipulated by this Agreement.

24.4 In the event of termination of the Agreement as a result of withdrawal from the Agreement on the grounds specified in paragraphs 12.1.1.-12.1.10, 12.1.12., the Grantee undertakes to return the previously received monetary amount of the Grant and pay the due penalties and fines stipulated by the Agreement within 10 (ten) Business Days from the date of submission of a written request by the Fund, regardless of the acts of work performed (rendered)/services signed by the parties.

25 Force Majeure

25.1 The Parties are exempt from liability for partial or complete failure to fulfill their obligations under the Agreement if such failure is caused by force majeure circumstances: military action, natural disasters, strikes, civil unrest (except in cases where such strikes, lockouts, or other events are under the control of either Party), prohibitive or restrictive measures of government agencies, and other extraordinary and unavoidable circumstances. The occurrence of force majeure circumstances must be confirmed by a corresponding document issued by a competent authority or authorized organization.

25.2 In the event of duly confirmed occurrence of force majeure circumstances, the period for fulfillment of obligations under the Agreement, which is prevented by the force majeure circumstance, shall be extended for the duration of such circumstance.

25.3 Force majeure does not cover any events caused by the negligence or intentional act of the Parties or their representatives and personnel, as well as any events that the Parties could have foreseen with due diligence to take them into account when concluding this Agreement and to prevent or overcome them in the performance of obligations under this Agreement.

25.4 Force majeure does not include the lack of sufficient funds or failure to make any payments provided for in this Agreement, as well as changes in the exchange rate of the national currency or business risk.

25.5 In the event of force majeure circumstances, the Party whose performance of any obligations under the Agreement has become impossible due to the occurrence of such circumstances is obliged to notify the other Party of this in writing within 3 (three) Business Days from the occurrence or termination of the force majeure circumstances (motivating and justifying the impossibility of fulfilling its obligations under the Agreement).

25.6 If no other written instructions are received from the Fund in response to a notice of force majeure, the Grantee shall continue to fulfill its obligations under this Agreement to the extent

practicable and shall seek alternative means of fulfilling the Agreement that are not subject to the influence of force majeure.

25.7 The absence of notification or untimely notification deprives the Party of the right to refer to any force majeure circumstance as a basis for exempting from liability for failure to fulfill obligations under the Agreement, except in cases where the absence of notification or untimely notification is directly caused by the relevant force majeure circumstance.

25.8 If the impossibility of full or partial fulfillment of obligations by the Parties exists for more than two months or another period agreed upon by the Parties in writing, the Parties have the right to terminate the Agreement and make mutual settlements.

26 Applicable law and dispute resolution

26.1 The Agreement shall be interpreted and governed by the laws of the Republic of Kazakhstan.

26.2 The Parties shall take all measures to ensure that any contentious issues, disagreements or claims relating to the execution of the Agreement are settled through negotiations.

26.3 Disputes on which the Parties have not reached an agreement during negotiations are resolved in the specialized inter-district economic court of Astana.

26.4 The Parties acknowledge and agree that payment of state fees or any other legal costs with funds received as a Grant shall be considered a violation by the Grantee of the Agreement in terms of compliance with the intended use of the Grant.

27 Notifications and claims

27.1 Any written notices or claims, warnings of the Parties shall be submitted in person or by mail, or by e-mail to the addresses of the Parties specified in Article 18.

28 Change of the terms of the Agreement

28.1 All amendments and/or additions to the Agreement are valid provided that they are made in writing and signed by authorized representatives of the Parties.

28.2 Any amendments and/or additions to this Agreement must necessarily comply with the goals and objectives of the Project, as well as the activities implemented to carry out the Project, and not exceed the total monetary amount of the Grant for the commercialization of the R&D results.

28.3 If necessary, the Fund reserves the right to request additional information from the Grantee to determine the advisability of amending and/or supplementing this Agreement. The information provided by the Grantee must fully reflect the need for and justification for amending and/or supplementing this Agreement, and be consistent with the subject matter of this Agreement, the goals and objectives of the Project, and the activities being implemented to implement the Project.

29 Final Provisions

29.1 The Applicant and the Grantee shall not have the right to transfer the rights and obligations under this Agreement to third parties without the prior written consent of the Fund.

29.2 The Agreement shall enter into force from the moment of its signature by the Parties and shall remain in force until the Parties have fully fulfilled their obligations under the Agreement or, in the event of early termination of the Agreement in the manner set out in Article 12, until the date of its early termination.

29.3 The Agreement is drawn up in the state and/or Russian languages in [three]/[two] copies, each having equal legal force, one copy for each Party.

30 Details and signatures of the parties

SCIENCE FUND:
Joint Stock Company "Science Fund"
Address: 41, Tauelsizdik Ave., 4th floor, Astana,
BIN 061140001887
IIK/IBAN_____
Kbe/Beneficiary code 16

JSC Halyk Bank of Kazakhstan
BIC/SWIFT HSBKKZKX
tel.: +7 7172 575003
info@science-fund.kz .
Chairman of the Board
Signature: _____ Full name

APPLICANT:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

GRANTEE:
Name of the legal entity
Legal address:
Location:
BIN
IIC/IBAN
BIC/SWIFT
Job title
Signature: _____ Full name

Appendix No. 7
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

Appendix 3
to the Grant Agreement for the commercialization of the results of scientific and (or) scientific and technical activities dated "___"
_____ 202___ No.____

TERMS OF GRANT FINANCING OF THE PROJECT

NO.	PROJECT PARAMETER	SPECIFICATION
1	**GENERAL INFORMATION**	
1.1	Project Name	[indicate the name of the Project]
1.2	Project Objectives	[indicate the objectives of the Project]
1.3	Grant Amount	[indicate the total amount of the Grant] ([indicate the total amount of the Grant in words]) tenge including VAT, of which the amount for 2026 is [indicate the amount] ([indicate the amount in words]) tenge including VAT, the amount for 2027 is [indicate the amount] ([indicate the amount in words]) tenge including VAT, the amount for 2028 is [indicate the amount] ([indicate the amount in words]) tenge including VAT.
1.4	Project implementation period	[indicate the period in months] from the date of conclusion of the Agreement, including:[10] Stage I – from [indicate stage start date] to [indicate stage end date], Phase II – from [indicate phase start date] to [indicate phase end date], and Stage III – from [indicate the start date of the stage] to [indicate the end date of the stage].
1.5	Bank account for co-financing	[specify IIC/IBAN, bank and BIC/SWIFT of the account for co-financing]
1.6	Authorized accounts	[indicate the IIC/IBAN, bank and BIC/SWIFT of the Grantee's bank accounts to be used to spend the Co-financing and Grant amounts**]**
2	**MINIMUM REQUIRED PROJECT INDICATORS**	
2.1	Total revenues from the Project implementation by the Project Completion Date	not less than 15% (fifteen percent) of the Grant amount

[10]The number of stages is indicated in accordance with the competition application of the competition winner.

2.2	From the Co-financing Amount	not less than [25/30/45] [11] % of the amount of the requested tranche for each stage of the Project
3	Key performance indicators	
3.1	[indicator in accordance with the application approved by the NSC]	[indicate the digital value of the indicator in accordance with the application approved by the NSC]
	[●]	[●]

SCIENCE FUND:

Joint Stock Company "Science Fund"

[*indicate full name*] [*indicate position*]

Signature: _____

APPLICANT:

[*indicate name/full name*]

[*indicate full name*] [*indicate position*]

Signature: _____

GRANTEE:

[*indicate the name*]

[*indicate full name*] [*indicate position*]

Signature: _____

[11] at least 25 % - for higher and postgraduate education organizations, as well as state scientific organizations and scientific organizations in which 50% or more of the shares/stakes are owned by the state, which are accredited subjects of scientific and (or) scientific and technical activities; at least 30 % - for start-up companies that are created in the event of the Applicant winning the competition; at least 45 % - for other legal entities registered at the time of application.

Appendix No. 7
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

Appendix 4 to the Grant Agreement for the Commercialization of the results of scientific and (or) scientific and technical activity dated "___" _____ 202___
No.____

WORK SCHEDULE

IRN_____

Project Name: "_____"

Stage number	No.-events	Name of the event	Start (month, year)	Completion (month, year)	Expected result	Responsible
I	1.1					
	1.2					
	1.3					
	1.4					
	1.5					
	1.6					
	1.7					
	1.8					
	1.9					
	1.10					
	1.11					
	1.12					
II	2.1					
	2.2					
	2.3					
	2.4					
	2.5					
	2.6					
	2.7					
	2.8					
	2.9					
	2.10					
	2.11					
	2.12					
III	3.1					
	3.2					
	3.3					
	3.4					
	3.5					
	3.6					
	3.7					
	3.8					
	3.9					
	3.10					
	3.11					
	3.12					

SCIENCE FUND:

Joint Stock Company "Science Fund"

[*indicate full name*] [*indicate position*]

Signature: _____

APPLICANT:

[*indicate name/full name*]

[*indicate full name*] [*indicate position*]

Signature: _____

GRANTEE:

[*specify the name*]

[*indicate full name*] [*indicate position*]

Signature: _____

Appendix No. 7
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

Appendix 5 to the Grant Agreement for the Commercialization of results of scientific and (or) scientific and technical activity dated "___" _____ 202___ No.____

COST ESTIMATE

IRN _____

Project Name: "_____"

No.	Description of expenses	Sum	Tranche (by Project stages, indicate the amount)			Source of funding (grant or co-financing)
			Stage 1	Stage 2	Stage 3	
1	Payroll fund according to the staffing table					Grant
						Co-financing
						Total
2	Purchase of equipment and/or software					Grant
						Co-financing
						Total
3	Preparation of production facilities					Grant
						Co-financing
						Total
4	Purchase of consumables and components					Grant
						Co-financing
						Total
5	Payment for services and (or) work of third parties					Grant
						Co-financing
						Total
6	Rent of production space, equipment rooms					Grant
						Co-financing
						Total
7	Protection of intellectual property					Grant
						Co-financing
						Total
8	Travel expenses					Co-financing
9	Costs of promoting a product and/or service to the market					Grant
						Co-financing
						Total
10	Tax liabilities and other mandatory payments to the budget					Grant
						Co-financing
						Total
11	Operating expenses					Gradogovornt
						Co-financing
						Total
12	Other co-financed costs					Co-financing
Total grant:						Grant
Amount withheld at source of payment (VAT)						
Amount of actual grant payments						
Total co-financing:						Co-financing
Total:						Total

SCIENCE FUND:

Joint Stock Company "Science Fund"

[*indicate full name*] [*indicate position*]

Signature: _____

APPLICANT:

[*indicate name/full name*]

[*indicate full name*] [*indicate position*]

Signature: _____

GRANTEE:

[*specify the name*]

[*indicate full name*] [*indicate position*]

Signature: _____

Appendix No. 7
to the Competition Documentation for Grant Financing of Projects for Commercialization of Results of Scientific and (or) Scientific and Technical Activities for 2026-2028

Appendix 6 to the Grant Agreement for the Commercialization of the results of scientific and (or) scientific and technical activity dated "___" _____ 20___ No.____

PROJECT IMPLEMENTATION REPORT
dated "___" _____ 20__

1. Name of the Grantee:
2. No. and name of the Project:
3. Contract number and date:

Table 1. Performance of project indicators

Item No.	Name of the indicator	Unit of measurement	In the reporting year		Entire period	
			Plan	Fact	Plan	Fact
1	Transfer of grant funds	tenge				
2	Disbursement of co-financing funds	tenge				
3	Total volume of products sold (services rendered)	tenge			15%	

Table 2. Key performance indicators

Item No.	**Indicator**	**Plan**	**Fact**
1			
2			
3			

Table 3. Volume of manufactured products

Item No.	Type of product	Quantity of manufactured products (services provided) (quantity)	The share of Kazakhstani content in products, works and services (%)	Volume of products sold (services provided) (tenge)			Volume of tax deductions by product (tenge)		
				Total	to the domestic market	for export	Total	under a grant	from sales
1									
2									

Table 4. General project indicators as of the reporting date

Item No.	Indicator	Unit of measurement	Meaning
1	Number of jobs	place	
2	IP protection documents	pcs.	
3	License Agreement	pcs.	
4	Royalties to scientists	tenge	

5	Wages	To the entire Project team	tenge	
		including scientists	tenge	
6	Purchase of fixed assets		tenge	
7	Amount of tax deductions	under a grant	tenge	
		Total (including sales)	tenge	

Head of the organization _____(signature) _____
Full name

Stamp

Project Manager _____(signature) _____
Full name